



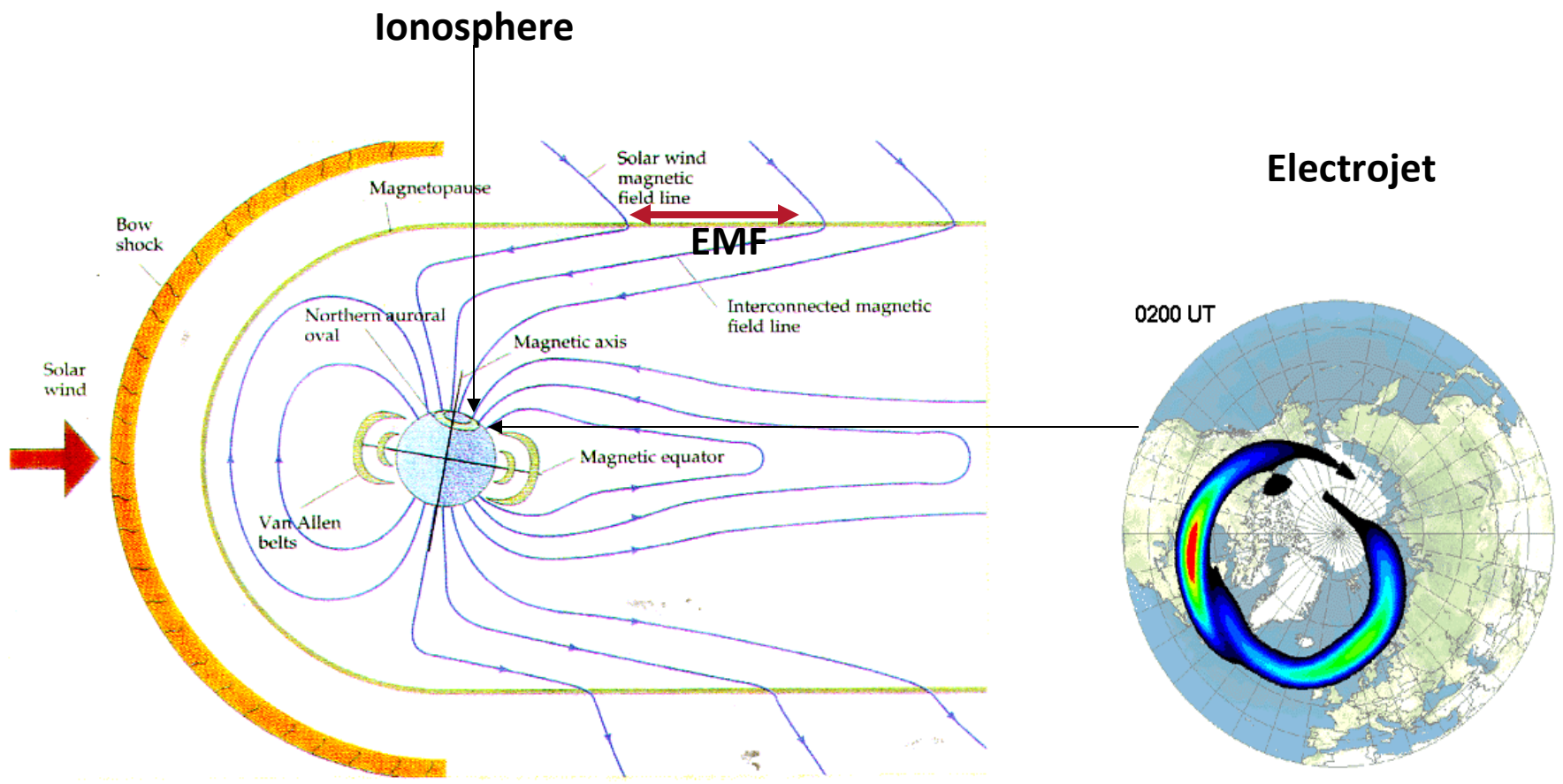
Space as an Open Nonlinear Plasma Laboratory

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University of Maryland

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U. INAN STANFORD
R. JACOBSEN ND M. McCARRICK AT MARSH
CREEK

Invited Presentation
Session BM 10
53rd Annual Meeting of the APS
Plasma Physics Division
Salt Lake City, Utah
November 14, 2011

Space Plasma Environment



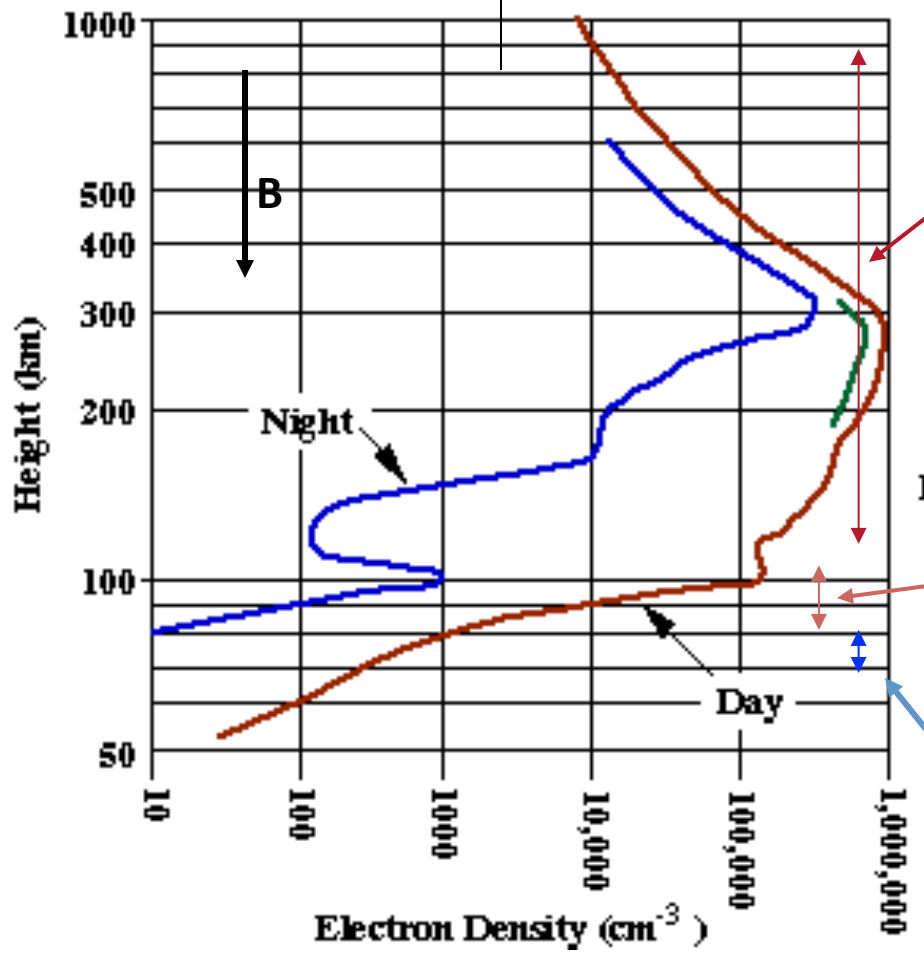
Active Experiments in Space

- Use space plasma as an open laboratory to conduct cause-and-effect studies of plasma and space processes.
- Inject energy at particular locations and forms in space and diagnose their effects from space and ground
 - Space Based Injections
 - Electron, Ion and Neutral beams (ARAKS, ZARNITSA, Excede, Precede,...)
 - Neutral Gas (CRRES, AMPTE, Shuttle Exhaust..)
 - Electro-dynamic Tethers (TSS1 and TSS1R)
 - Waves (Aktivny, WISP)
 - Ground Based Injections
 - **HF Radio-waves – Ionospheric Heaters**



The Polar Ionosphere as Plasma

Magnetosphere



F ($h > 120$ km): Collisionless ($v \ll \Omega$), Magnetized plasma – Electron and ion plasma waves, cyclotron waves, whistlers, MHD (Shear-Msonic) waves. Notice min. of V_A at F-peak.

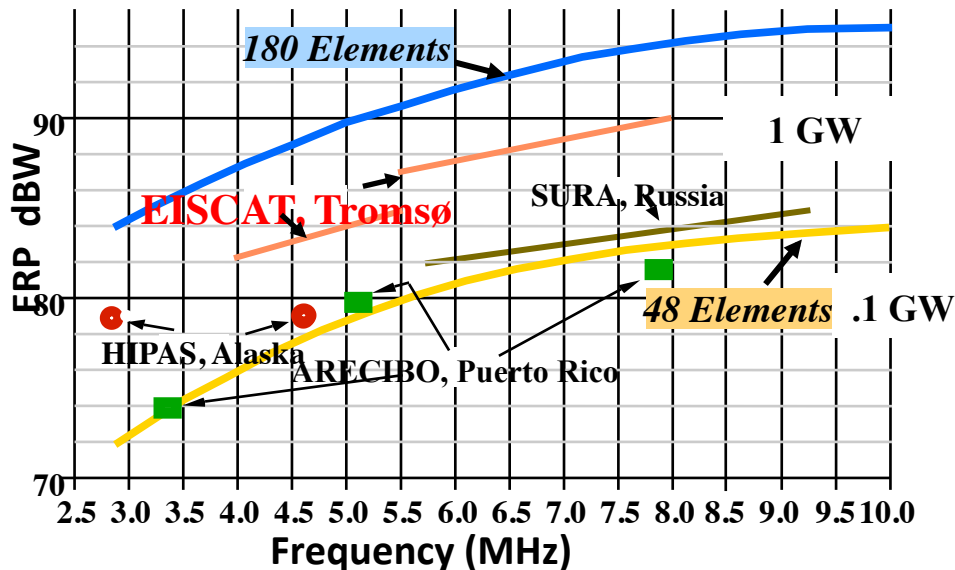
E ($70 < h < 120$ km): $\omega_e, \Omega_e > v$, $\Omega_i < v$
EMHD plasma – Helicon waves – no Alfvén or Ion Cyclotron waves

D ($h < 70$ km): $v > \Omega_e, \omega_e$ weakly ionized gas – not plasma

Active Regions (Plasmas with Free Energy):
E-Electrojets

Ionospheric Heaters

- **Ionospheric heater** - Powerful HF transmitter (2.8-10 MHz) that induces **controlled** temporary modification to the electron temperature at **desired** altitude in the lower ionosphere (70-300 km)
- Use in conjunction with diagnostics to study, in a **cause and effect** fashion:
 - EM propagation, plasma turbulence and instabilities
 - Response of magnetospheric plasma and Radiation Belts to controlled perturbations of the ionospheric plasma

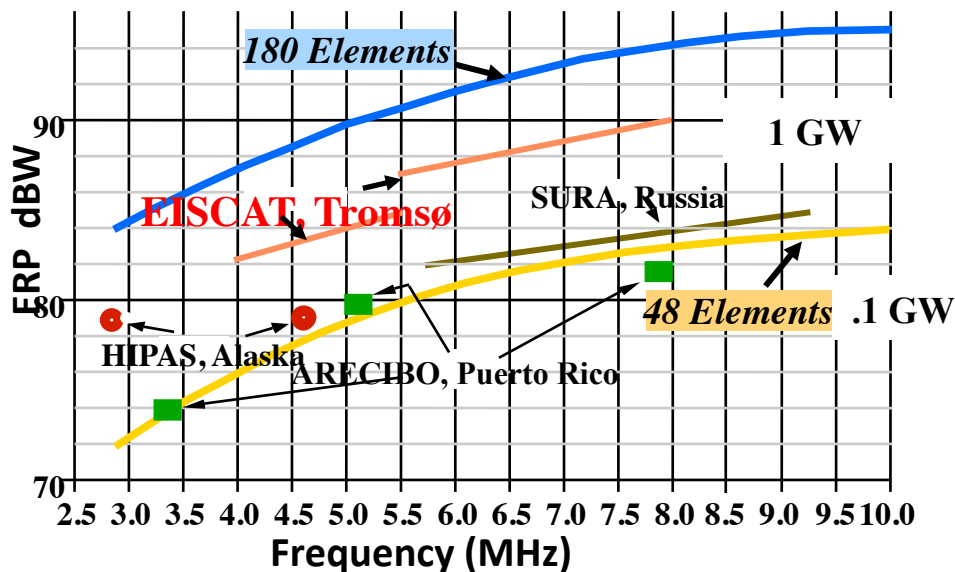


High-frequency Active Auroral Research Program (HAARP)



Ionospheric Heaters

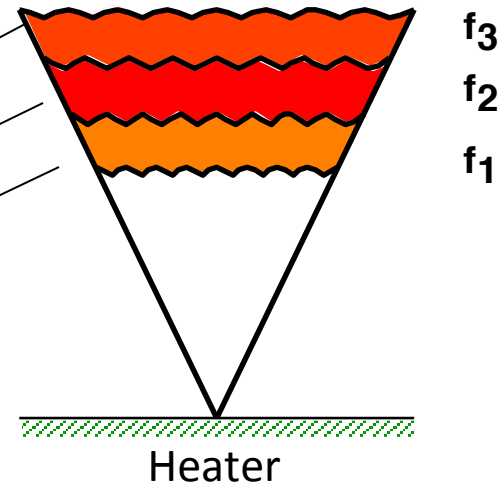
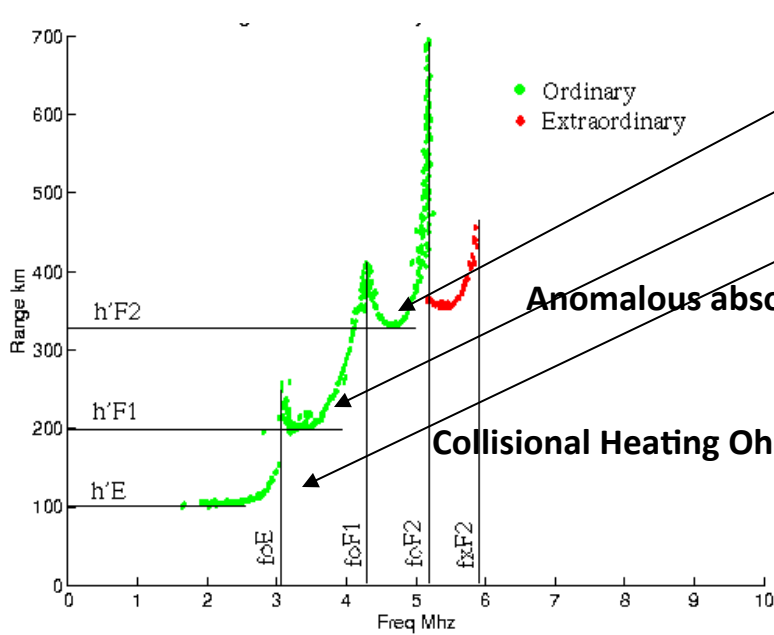
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High-frequency Active Auroral Research Program (HAARP)



How to control location and profile of electron heating



Ionosonde - Radar

$$\omega_{pr} = \omega_e(h) = 5.6 \times 10^4 \sqrt{n(h)} \quad \text{O-mode}$$

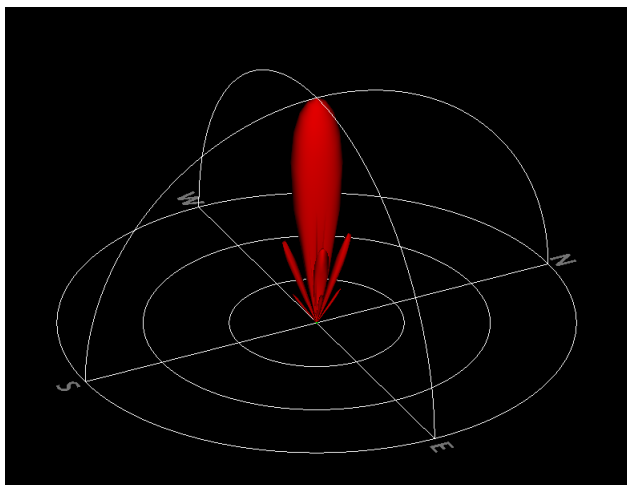
$$\omega_{pr} = \omega_e(h) + \Omega_e / 2 \quad \text{X-mode}$$

$$\frac{dT_e}{dt} = \nu_{en} \mathcal{E}/\sigma \text{ losses}$$

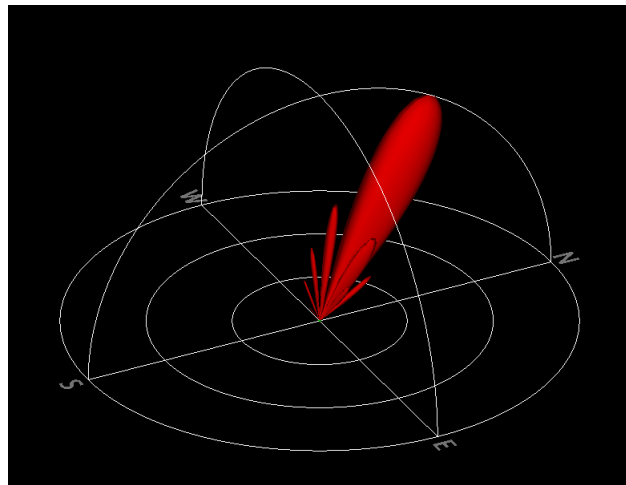
$$\mathcal{E}/\sigma = \frac{1}{2} m \left(\frac{eE}{m\omega_{eff}} \right)^2$$

$$\omega_{eff}^2 \approx (\omega \pm \Omega_e)^2 + \nu_{en}^2$$

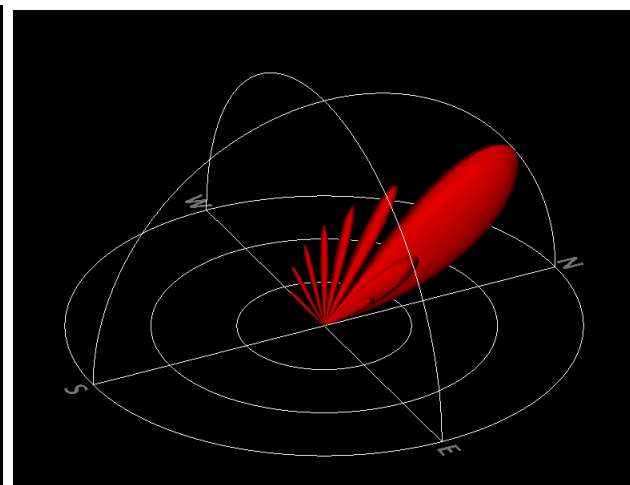
4.5 MHz, Azimuth=0



Zenith = 0

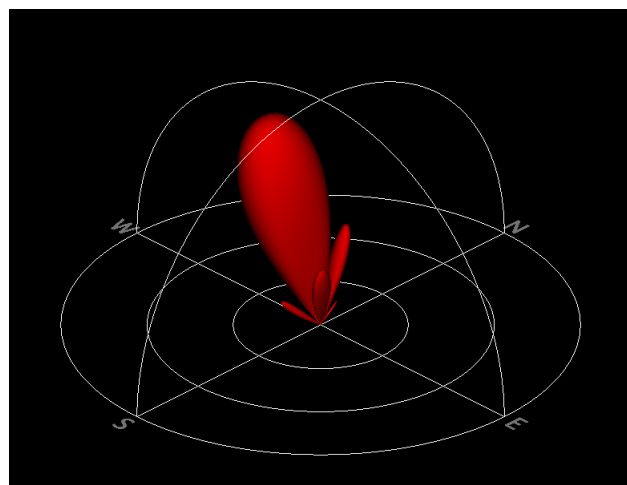


Zenith=45

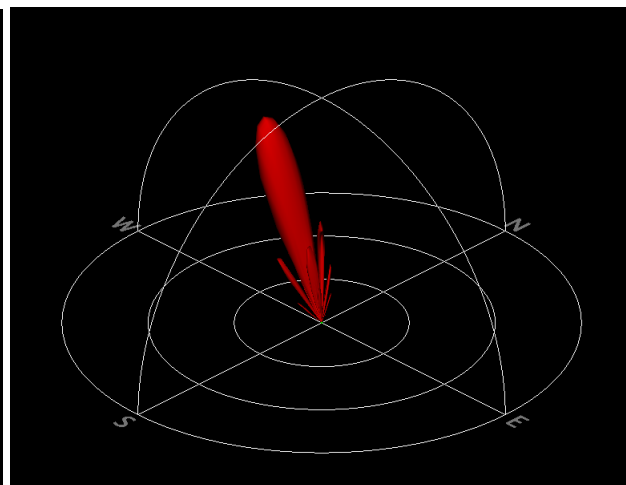


Zenith=60

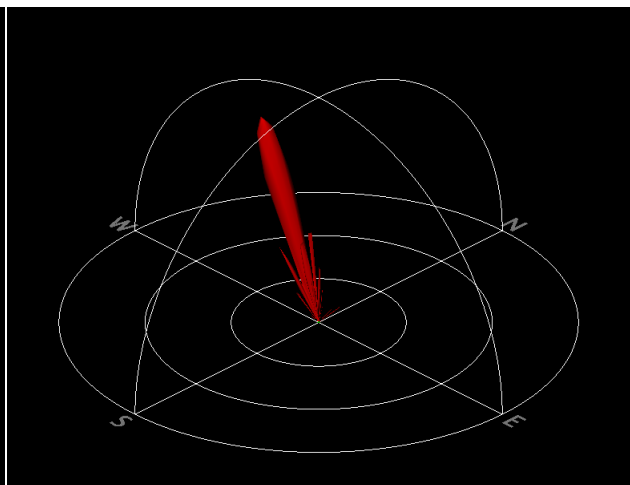
Magnetic Zenith



2.70 MHz



5.95 MHz



9.2 MHz



RESEARCH TOPICS

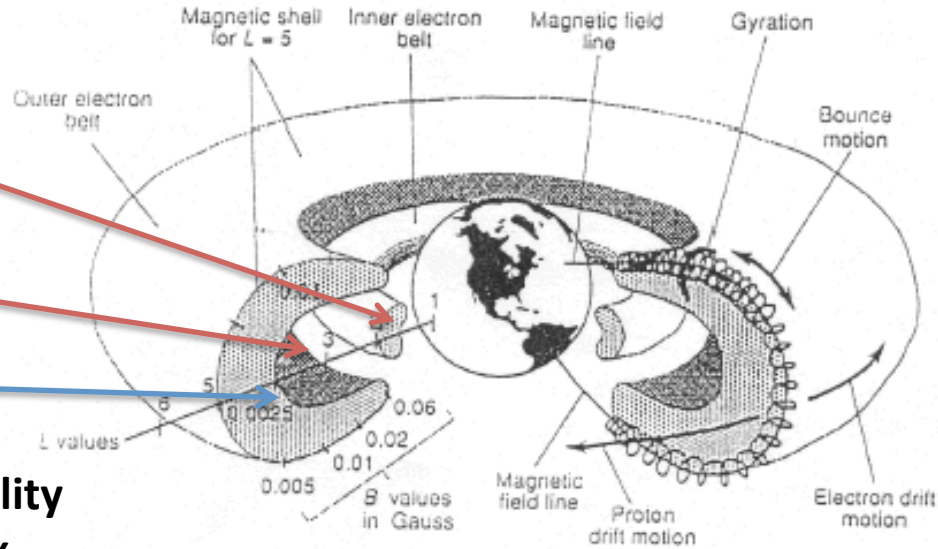
- **Injection of VLF (Whistler Range) and ELF/ULF [Alfven and Electro-Magnetic Ion Cyclotron (EMIC) Waves] in the Radiation Belts**
 - High Latitude (Auroral zone)
 - Middle Latitude
 - The dip-Equator
- **Electron Acceleration – Artificial Plasma Layers (APL) and Optical Emissions**

ELF/ VLF Injection in the Radiation Belts (RB) Why ?

Inner RB $1.5 < L < 2$

Slot $2 < L < 3$

Outer RB $L > 3$



Spacecraft lifetime and functionality
function of RB stored particle flux

Physics Issues

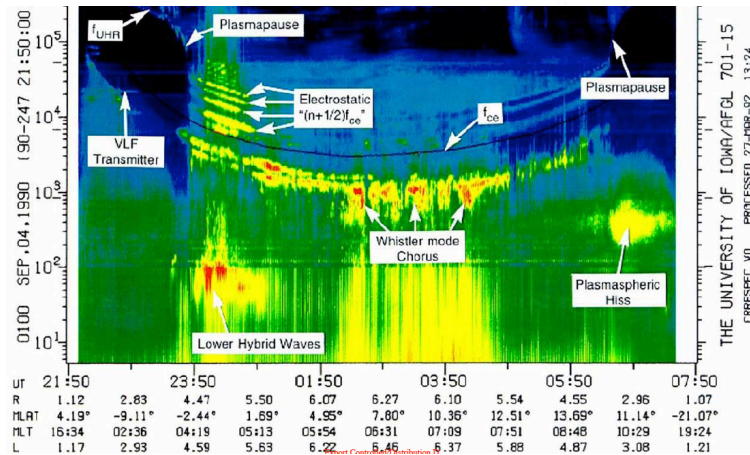
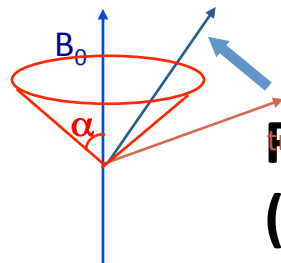
Injection

Transport

Energization

Loss

Wave-Particle Interactions (WPI)

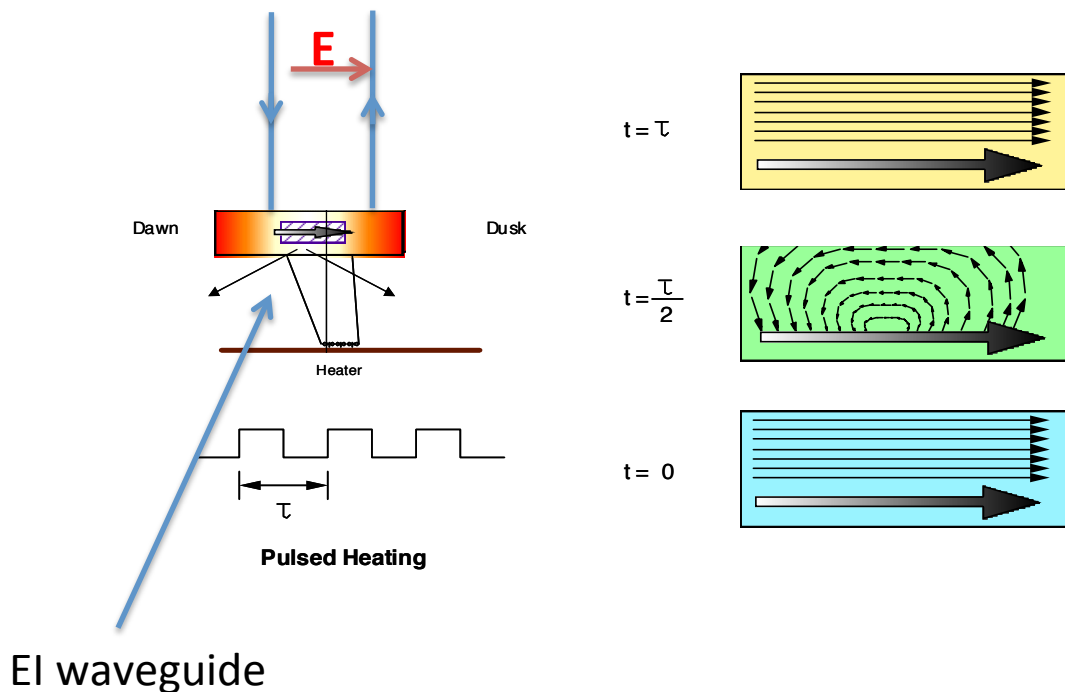


Remediation – Extreme storms
(Carrington 1859) and nuclear events

Wave-Particle Interactions Studies under Controlled Wave Injection

Ionospheric heaters as ELF/VLF wave injectors

The Polar Electrojet (PEJ) antenna



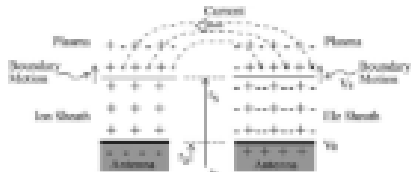
1. Modulated HF heating at the desired low frequency

2. Modulates ejet current

3. Creates a virtual antenna located at an altitude 70-90 km

4. Injects ELF/VLF waves into the magnetosphere and into the earth ionosphere waveguide

The Plasma Physics of the PEJ



Injects whistlers
and SAW

FAC

$$J_P / J_H = v_{en} / \Omega_e$$

$$v_{en} \approx T_e^\alpha$$

$$v \ll \Omega_e$$

$$v = \Omega_e$$

$$v \gg \Omega_e$$

Bottom of the ionosphere

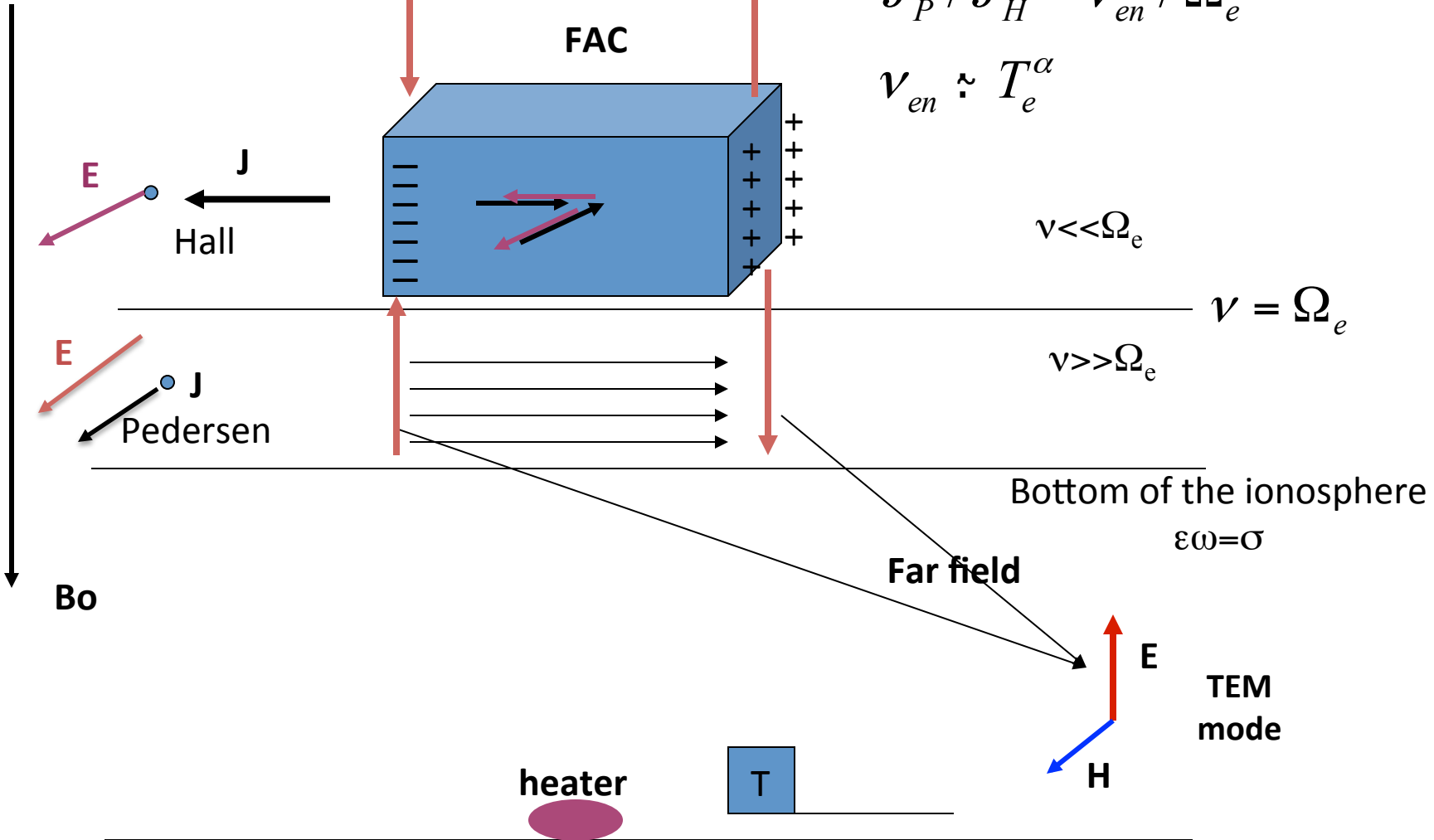
$$\epsilon\omega = \sigma$$

Far field

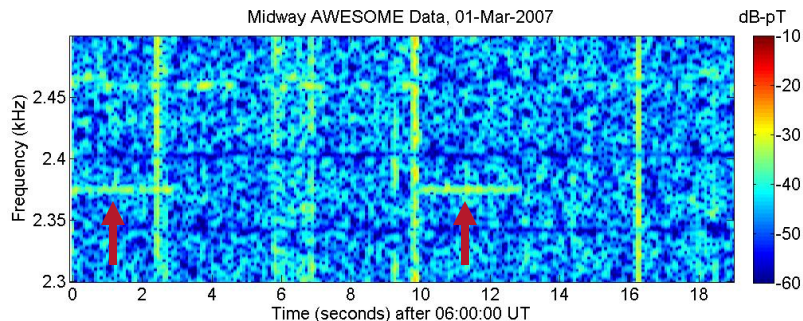
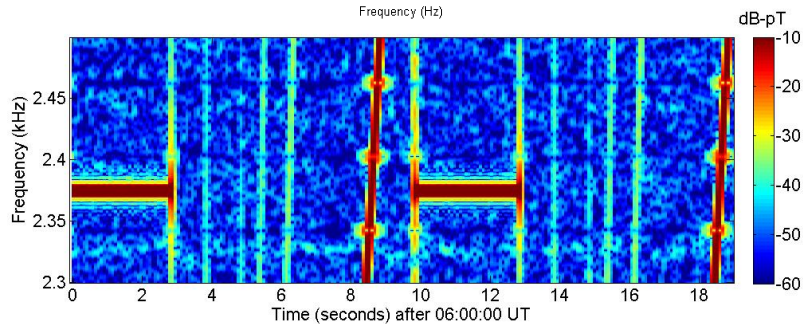
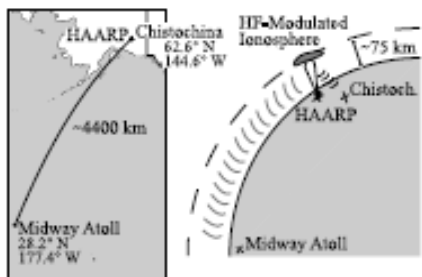
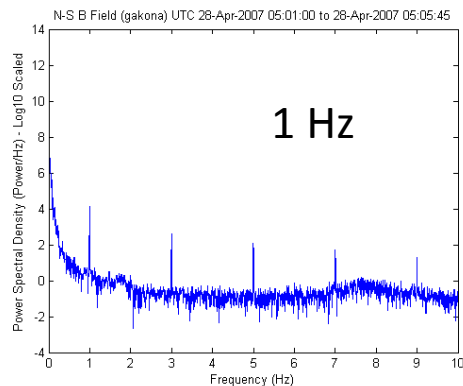
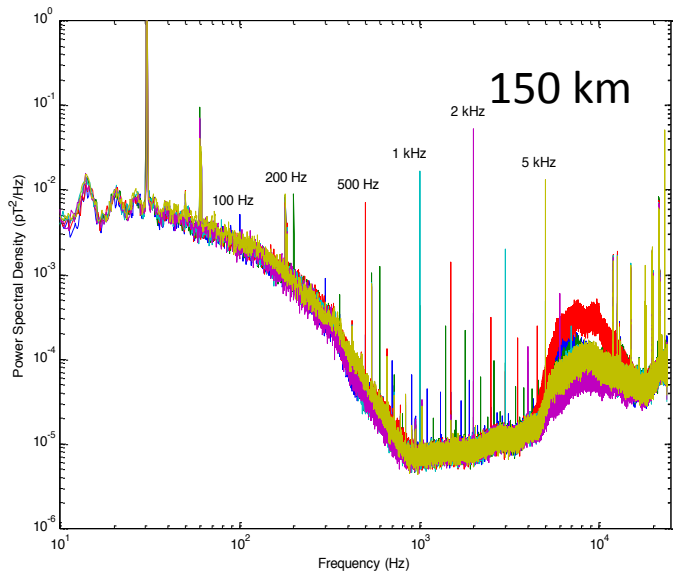
TEM
mode

heater

T



ELF/VLF ground detection and propagation



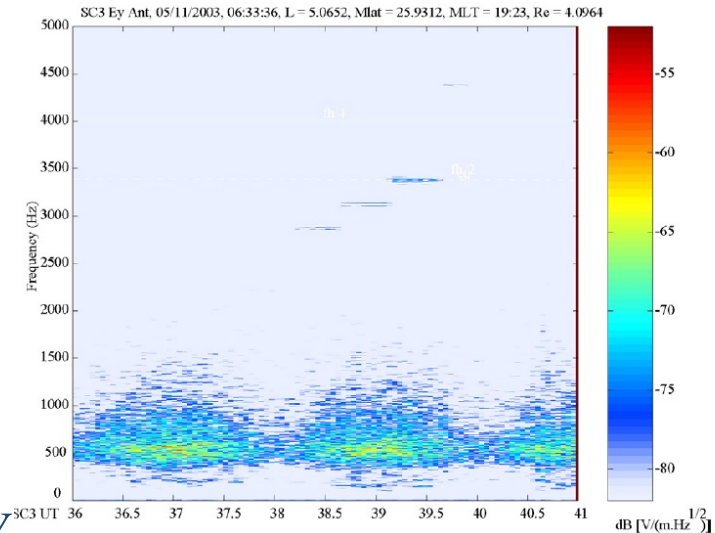
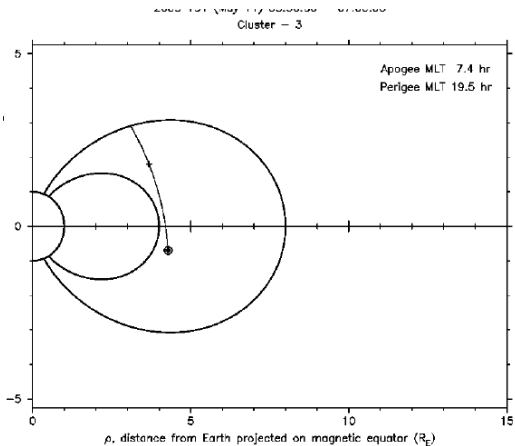
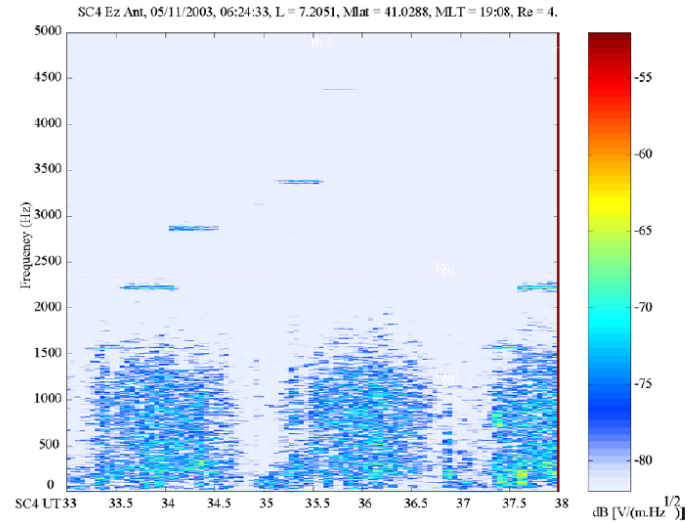
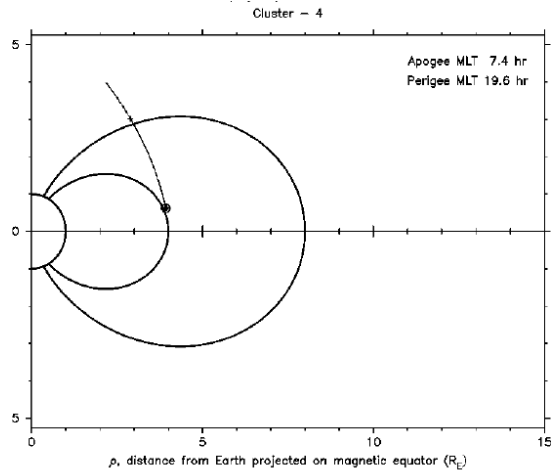
5400
km
away

Midway

Moore et al.
GRL 2008

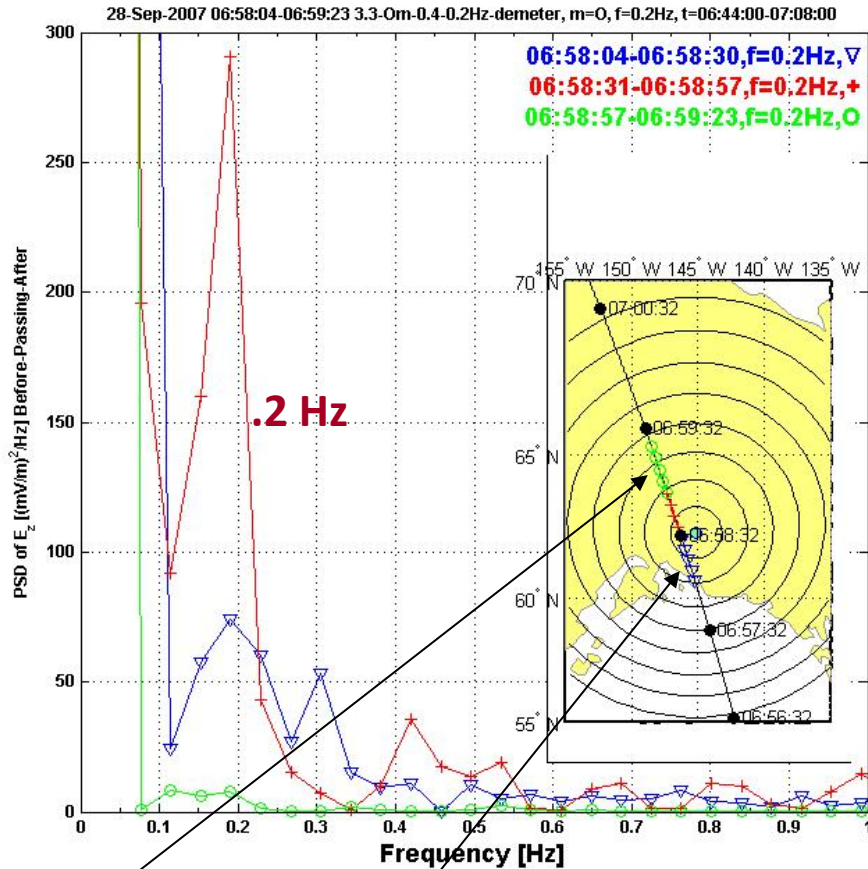


HAARP/CLUSTER INJECTION



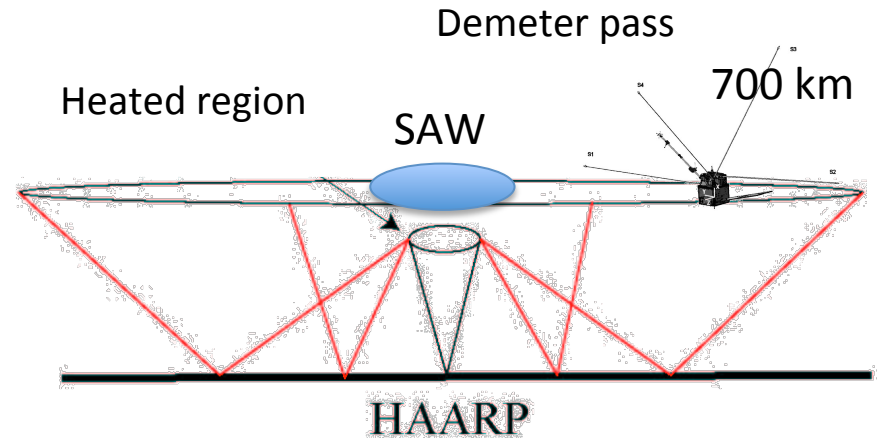
DEMETER Detections – 700 km

SHEAR ALFVEN WAVES (SAW)



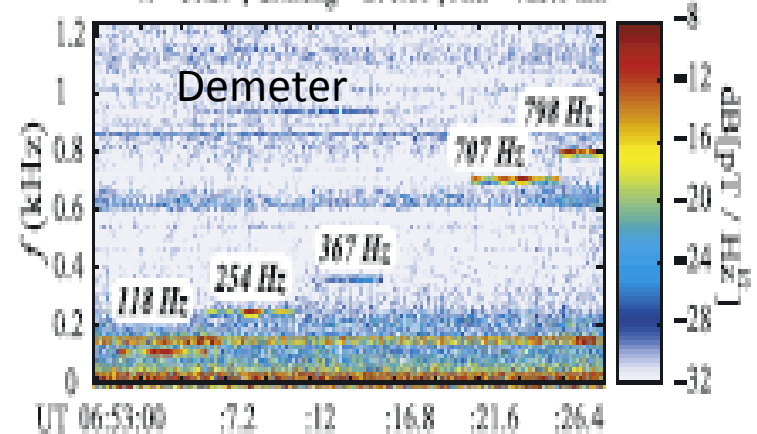
After

Before



WHISTLER WAVES

(b) Bx, 02/10/2005, 06:52:59.7, L = 4.36,
 $\lambda = 60.59^\circ$, GMlong = 270.81°, Alt. = 725.6 km



Ionospheric Current Drive (ICD)

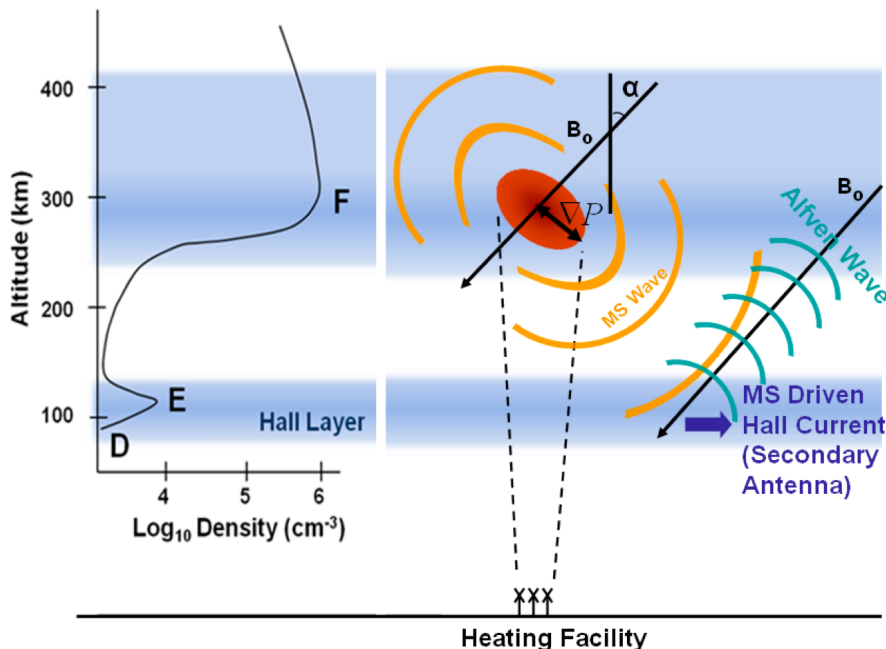
PEJ Limitation is the requirement of a strong electrojet current. Cannot be implemented by mid-latitude heaters. Can only inject waves in outer belt. Long periods without availability.

Technique required whose physics does not depend on the presence of ejet → ICD

-> F-region heating

Step 1: $\Delta J = \frac{B \times \nabla \delta p}{B^2} \exp(i\omega t)$ Diamagnetic current -> MS wave

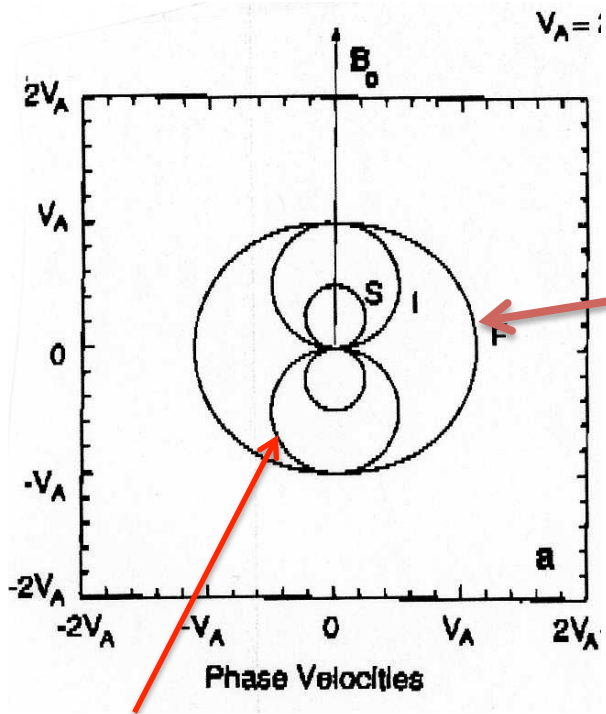
Step 2: E field of MS wave drives Hall current in E-region resulting in secondary antenna resembling PEJ



F- region cooling response does not allow frequencies higher than 60-70 Hz

Injects SAW upwards and ELF in the Earth-Ionosphere Waveguide

Insert: MHD WAVES (MS, SAW, EMIC)



SHEAR ALFVEN WAVE(SAW)

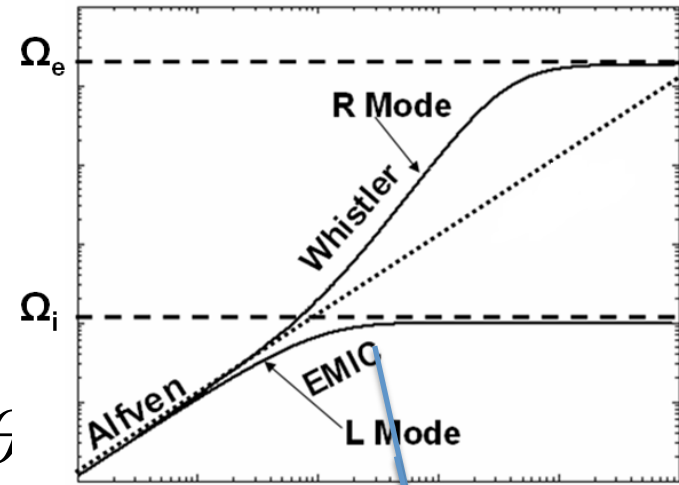
$$\omega / k_z = V_A$$

$$\vec{V}_g = V_A \hat{b}$$

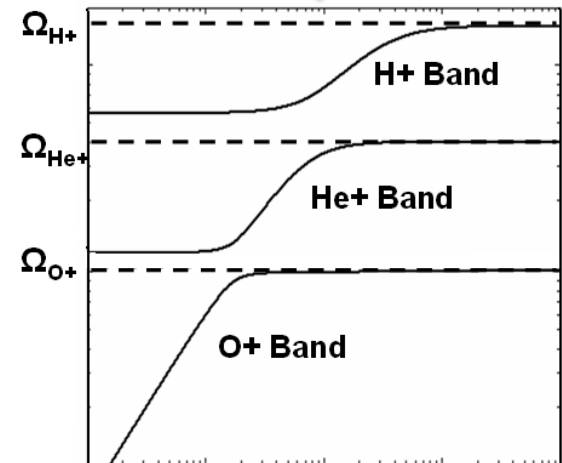
MAGNETOSONIC MS

$$\omega / k = V_A$$

$$\omega / k_z = V_A \cos \theta$$

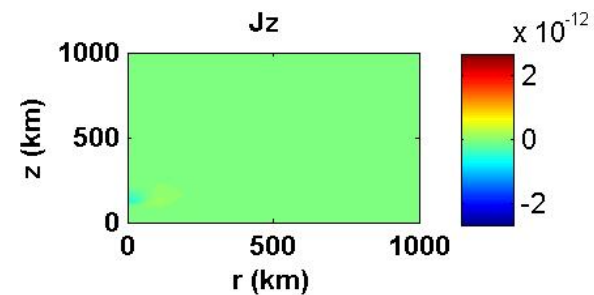
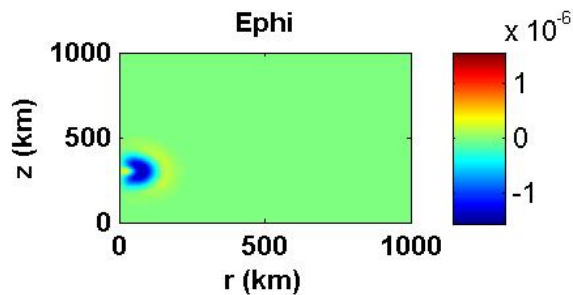
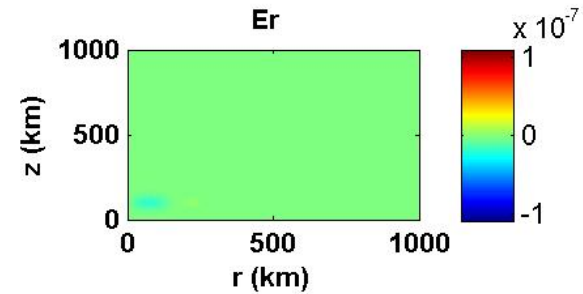
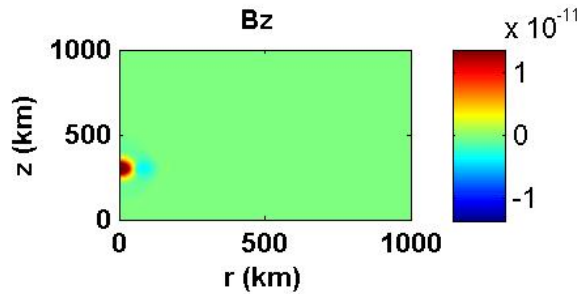
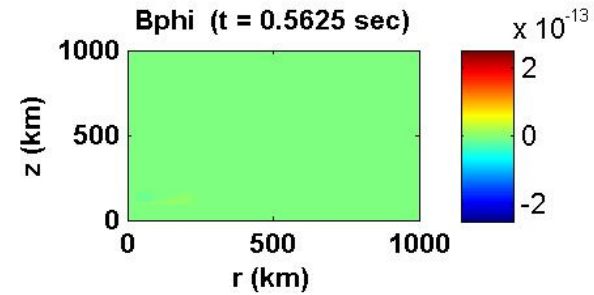
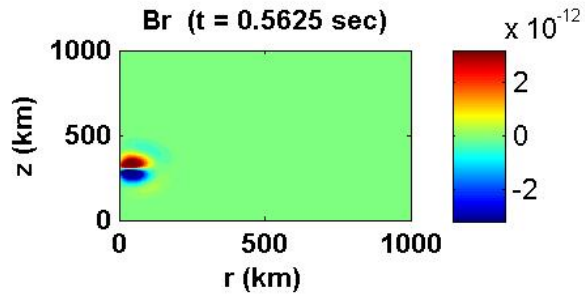
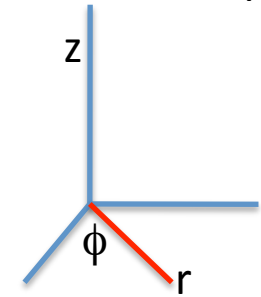


Parallel Wave Number



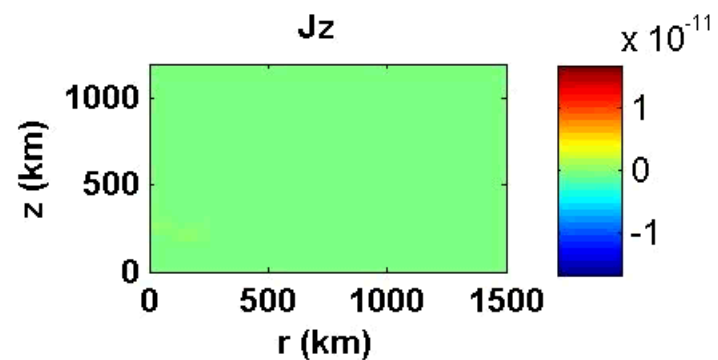
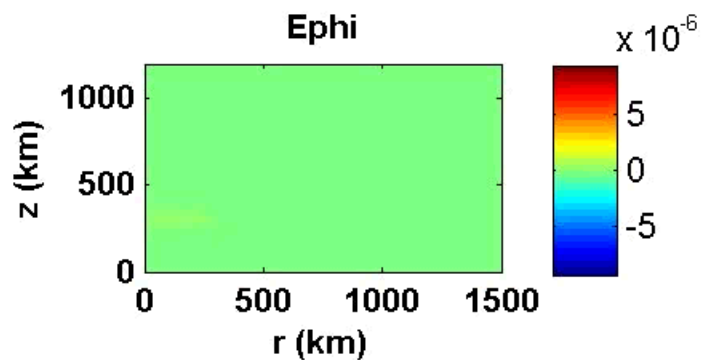
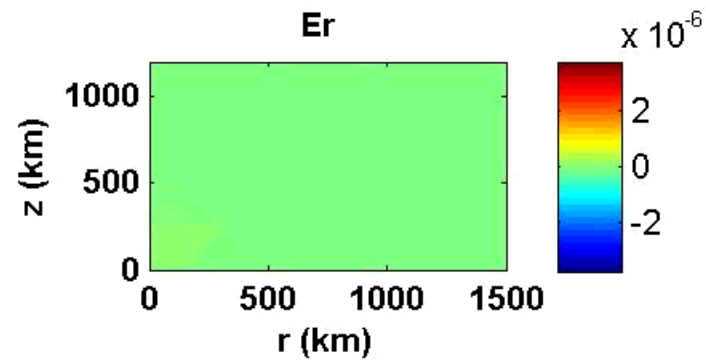
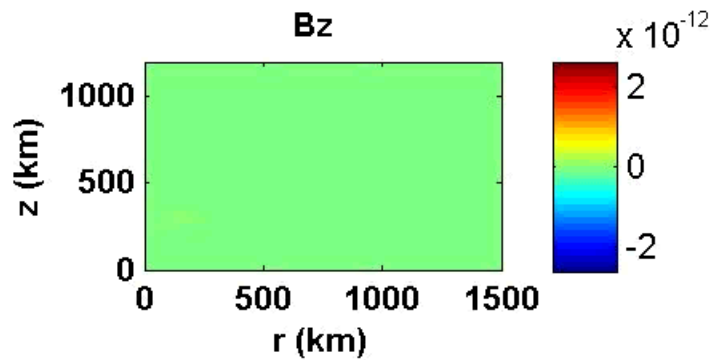
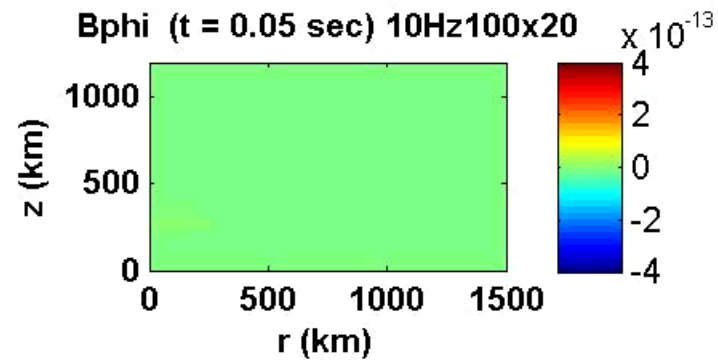
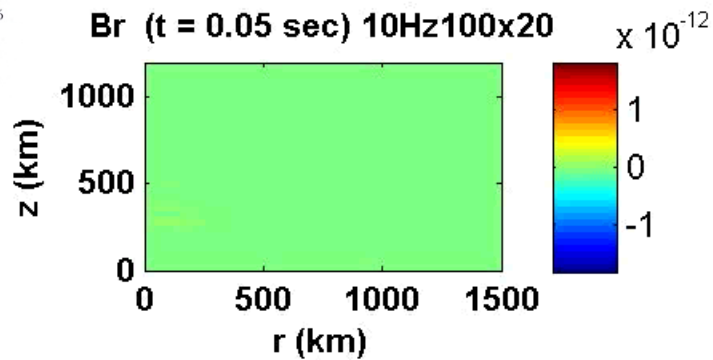
Cylindrical Coordinates

Papadopoulos et al. GRL 2011a; paper selected as GRL highlight



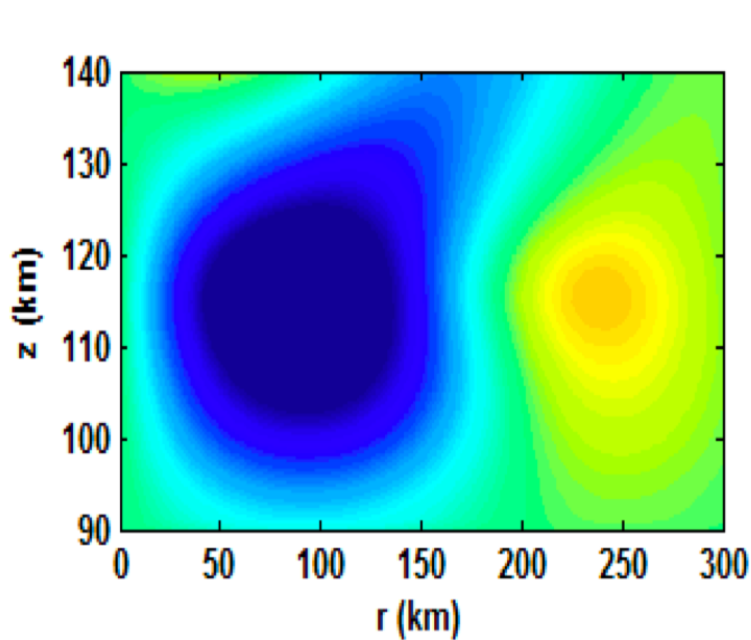
MS

SAW

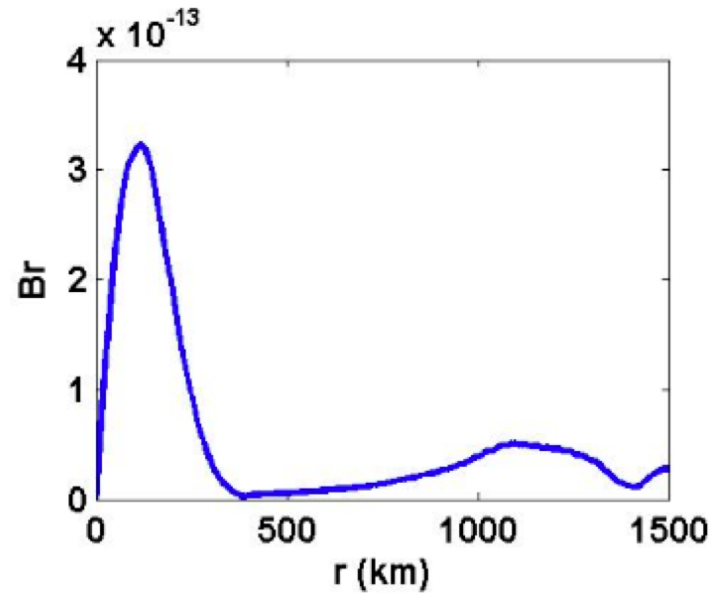




Secondary Antenna Current and Ground Field



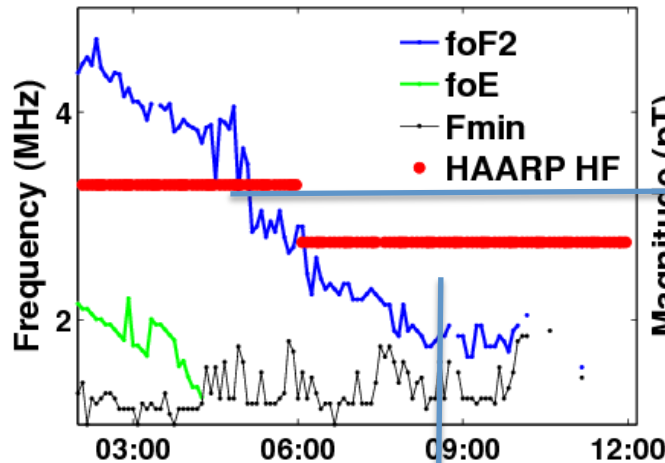
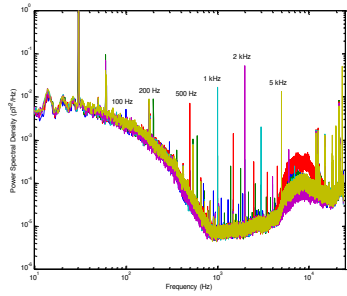
J_ϕ



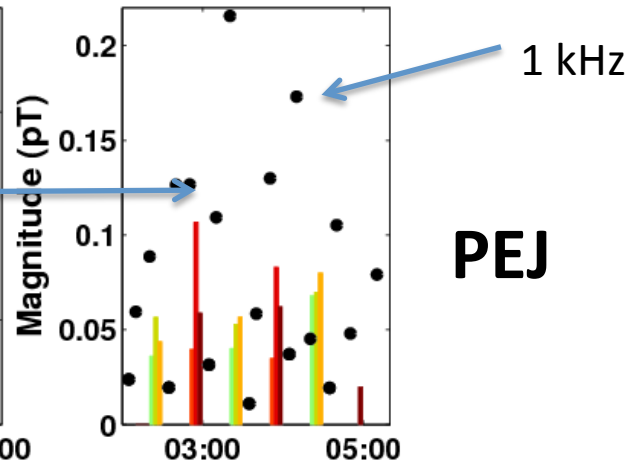
B_r

PoP Exps: PEJ to ICD Transition

(a) Ionospheric Conditions

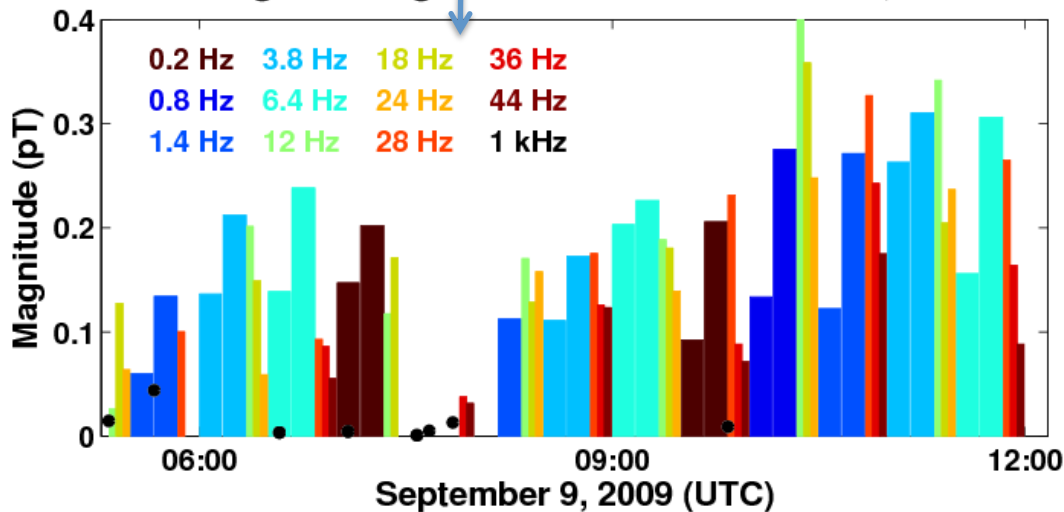


(b)



(c)

Magnetic Signals Received in Gakona, Ak



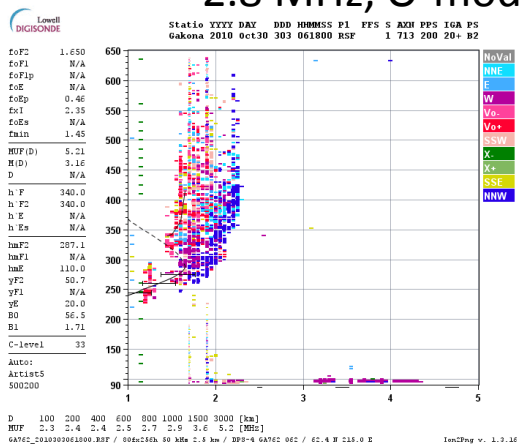
Scaling with power and frequency



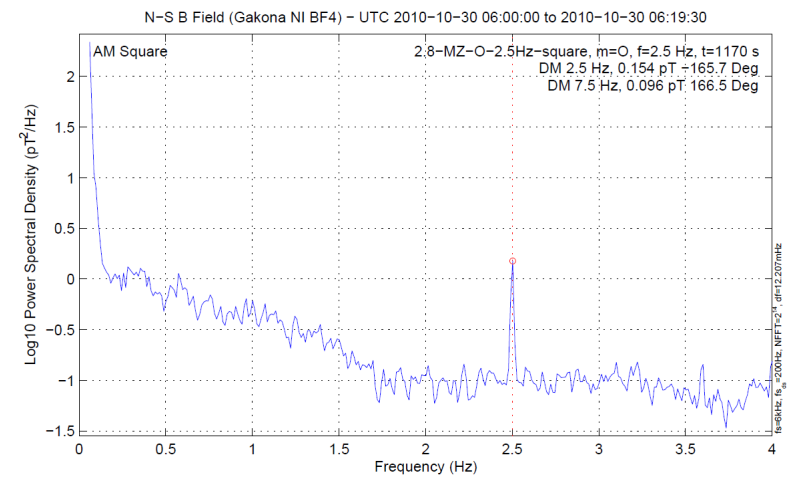
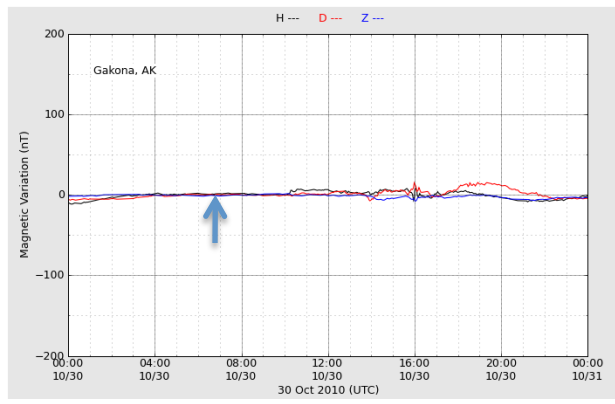
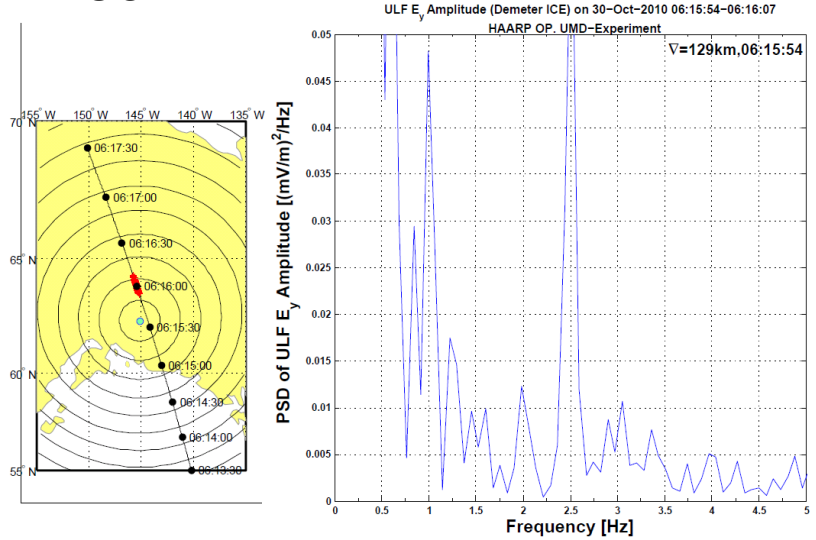
Proof of Concept ICD SAW Injection Experiment

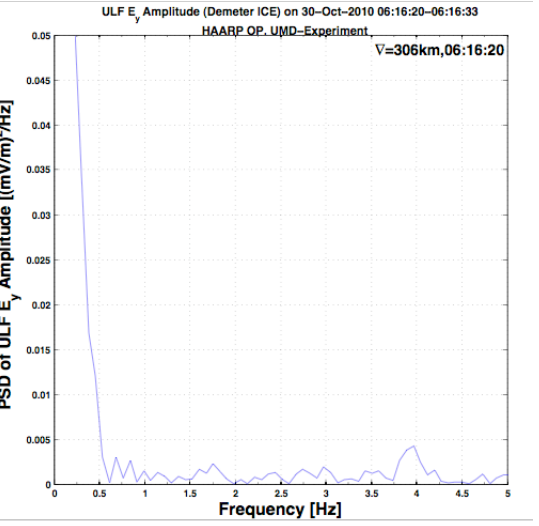
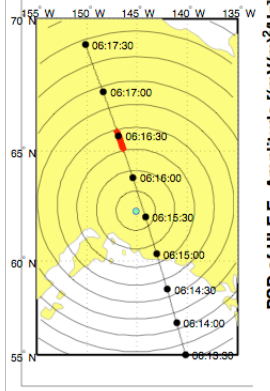
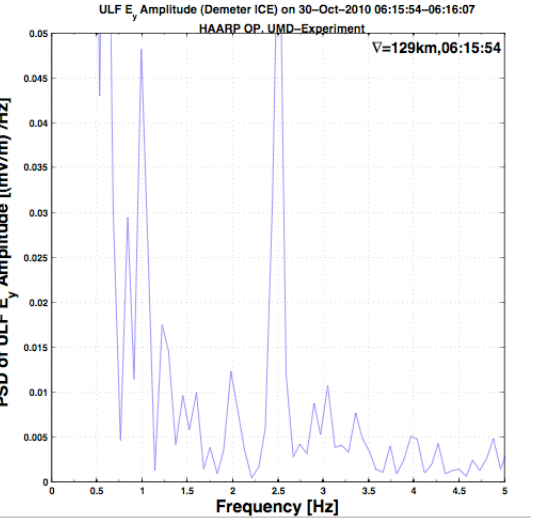
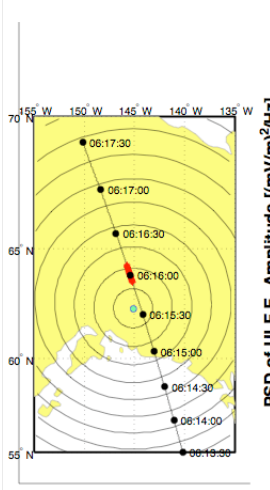
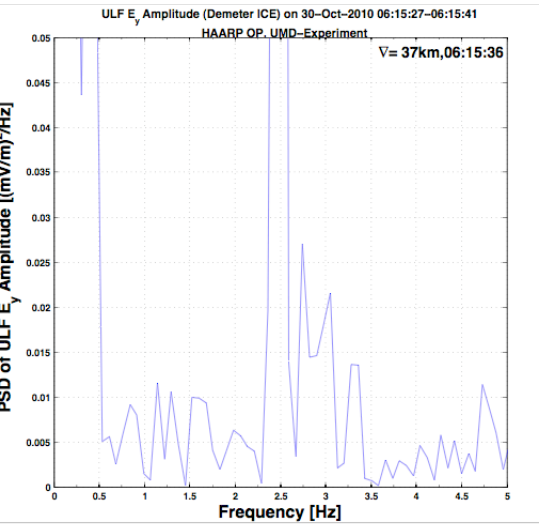
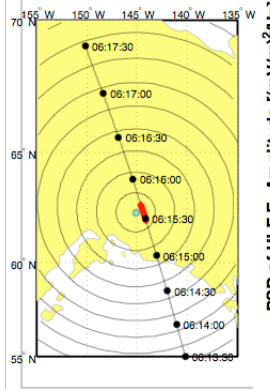
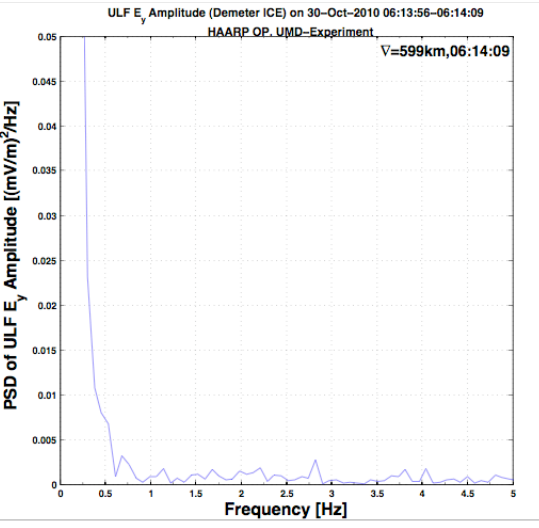
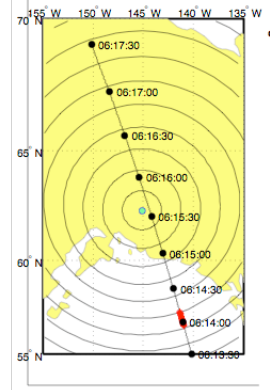
Chang-Lebinsky-Milikh-Papadopoulos

2.8 MHz, O-mode



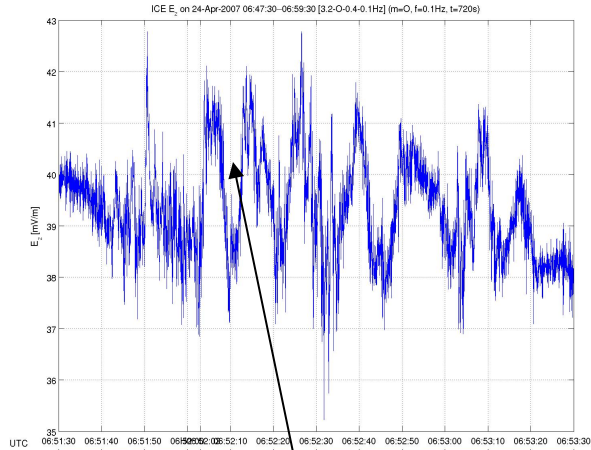
BRIOCHE



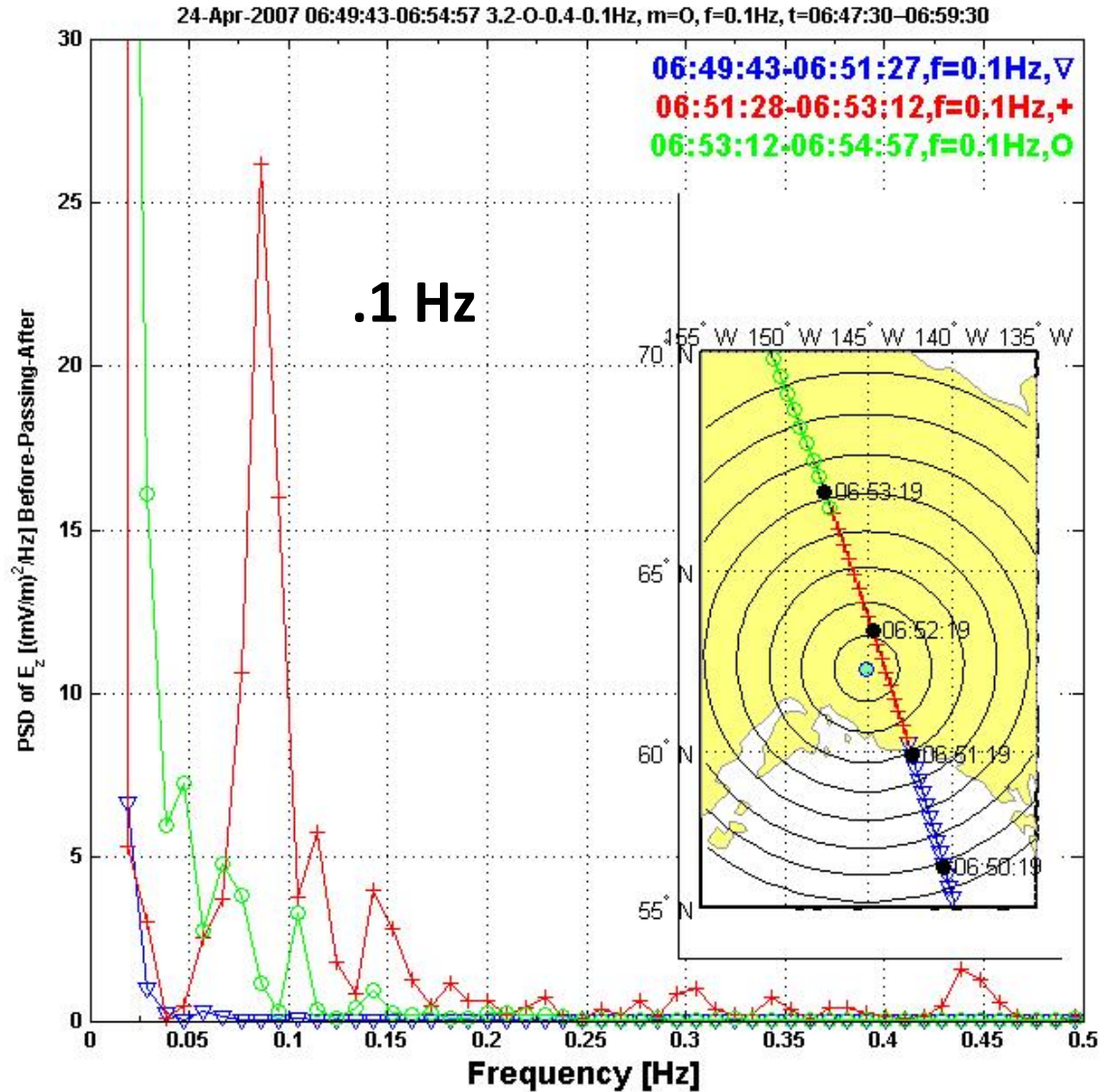


Msonic Wave Injection

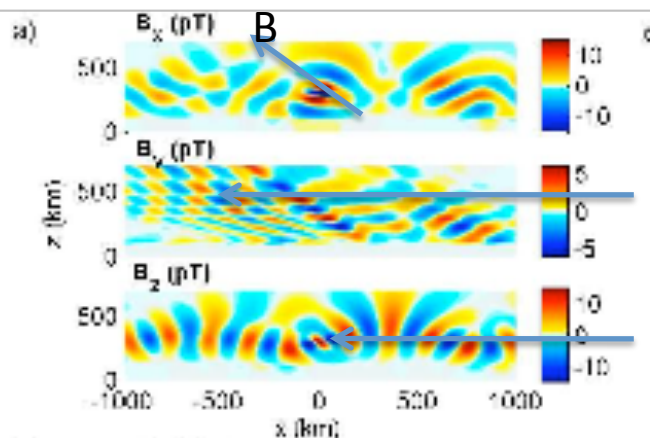
DEMETER



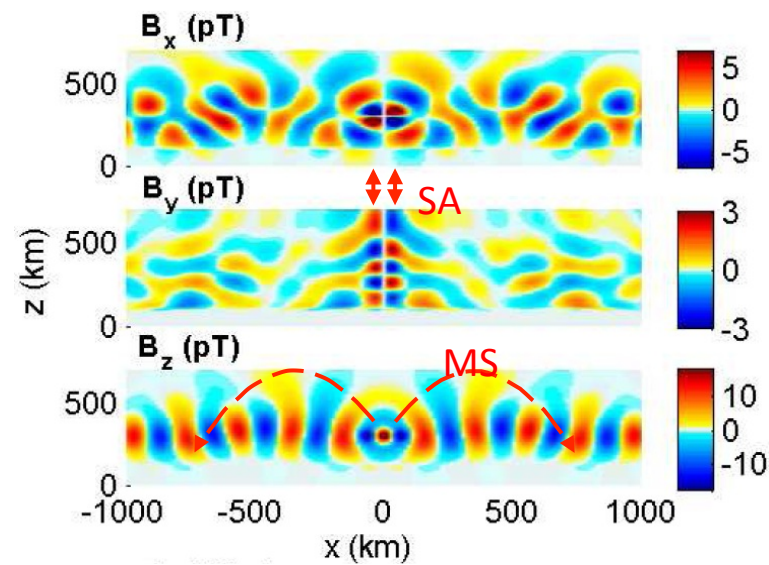
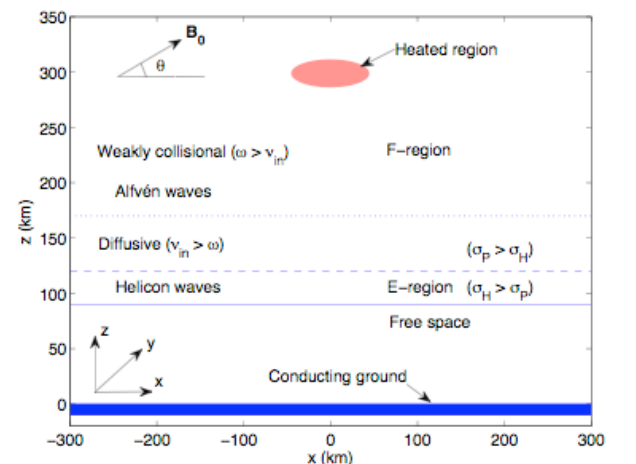
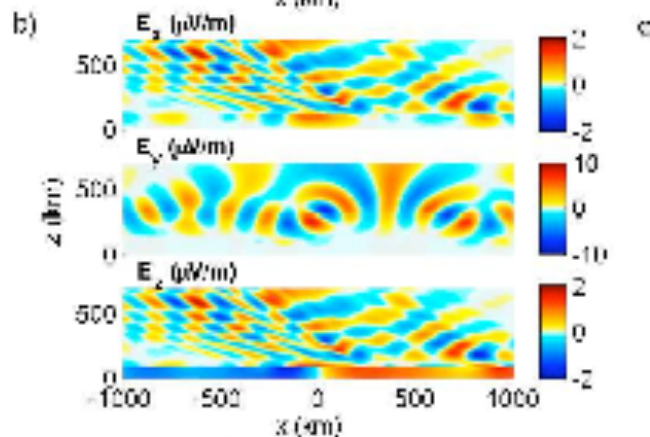
10 sec oscillations



ELF Injection in the inner RB using the Arecibo Heater

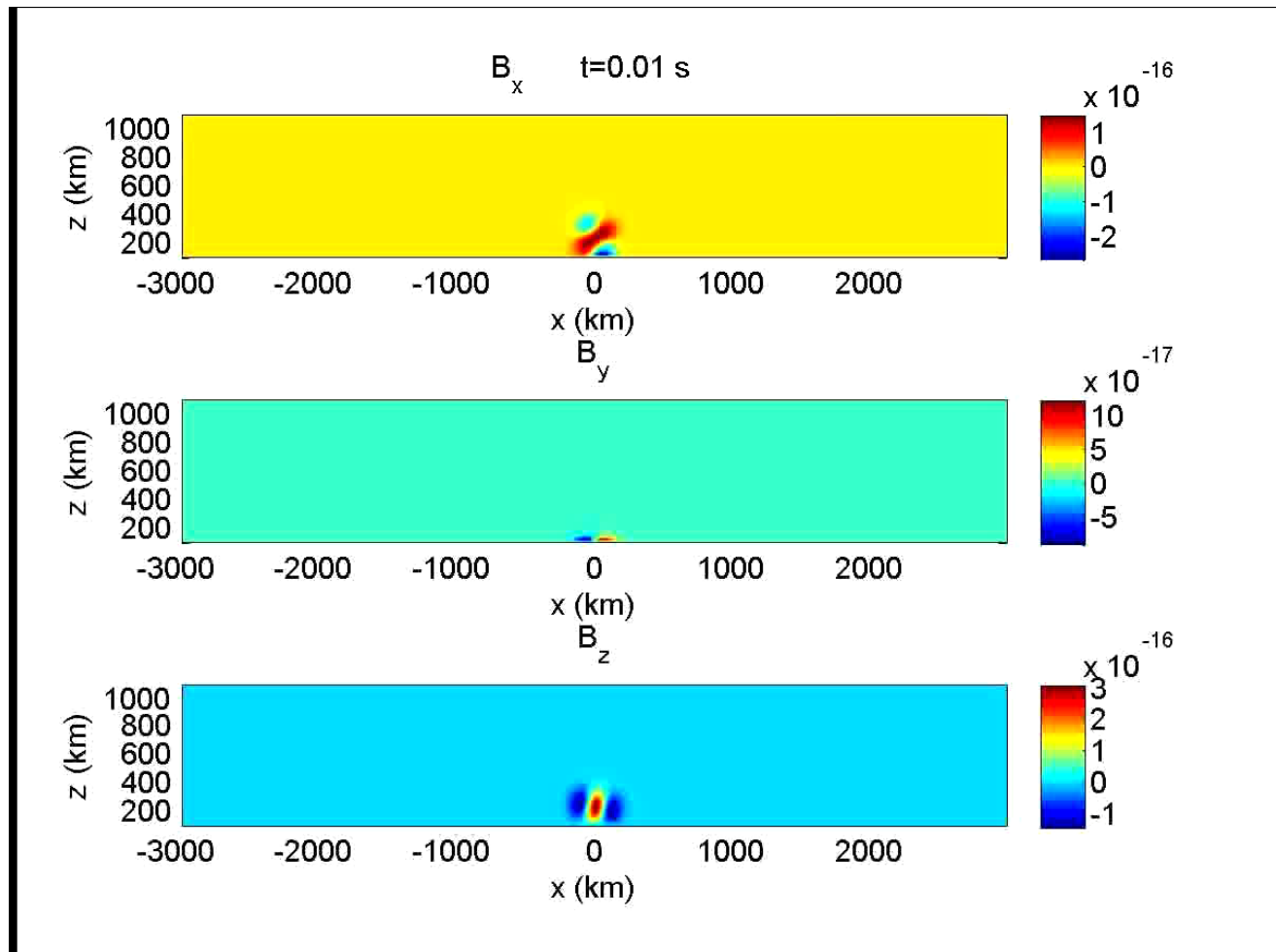


SAW injection
HF heating



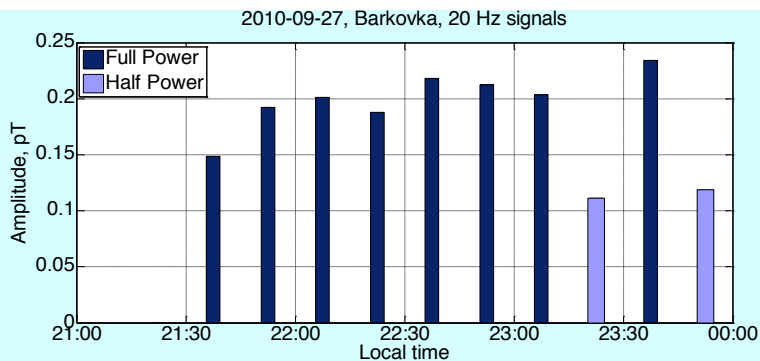
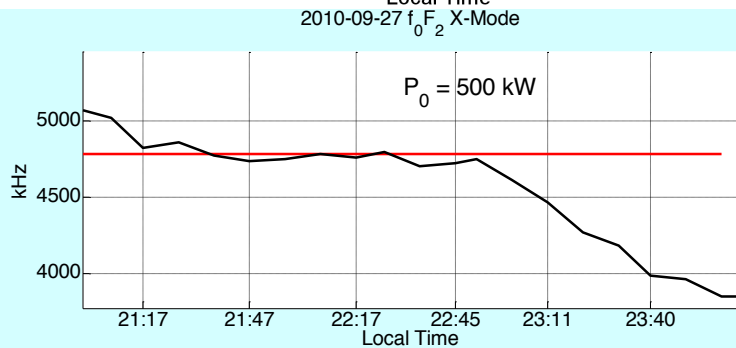
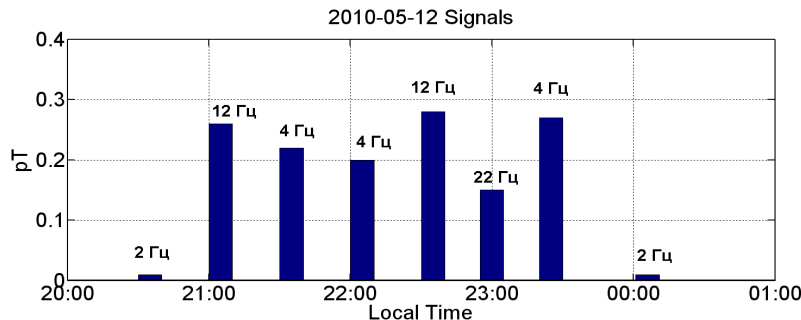
ICD - Implications

Mid-latitude Heaters – Arecibo , SURA : Wave Particle Interaction
Studies in the inner Belt



Mid-Latitude ICD – Arecibo, SURA

SURA – ICD Confirmation – D. Kotik 2011



Old Result
Ganguly-Gordon-Papadopoulos PRL 1985

VOLUME 37, NUMBER 5 PHYSICAL REVIEW LETTERS

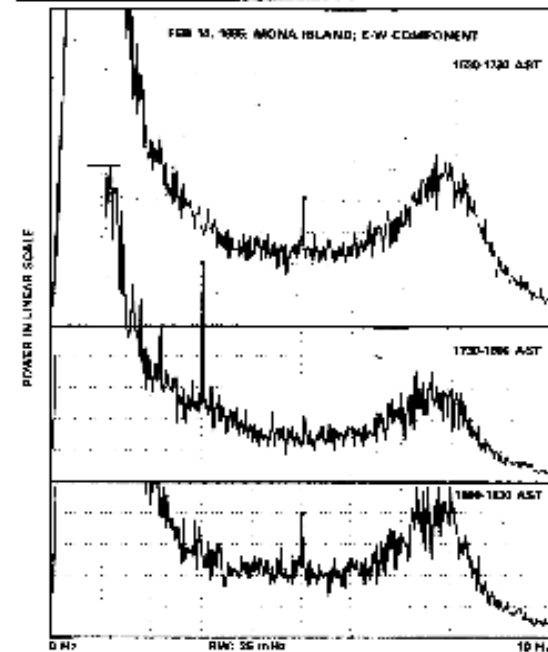
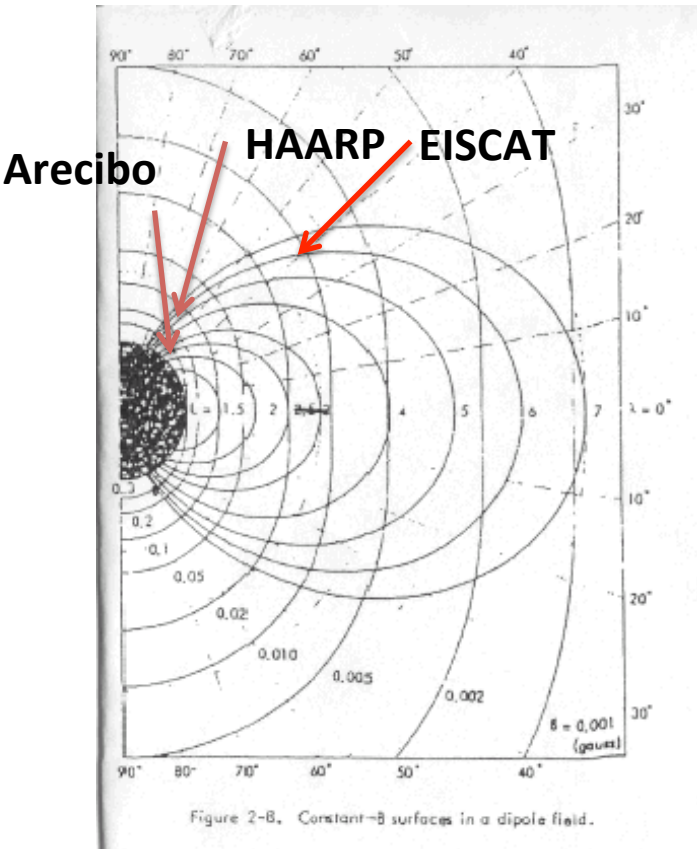


FIG. 1. Spectra of the received signal in the 0–10-Hz band (14 February 1985). Receiver was located at Mona Island. Data cover the period 16:30–18:30 AST. The HF transmitters were operated at 5.1 MHz and with a difference frequency Δf of 5 Hz during 16:30–17:30 AST, which was changed to 3 Hz during 17:30–18:00 AST and changed back to 5.0 Hz during 18:00–18:30 AST. The magnitude of the 5.0-Hz signal is about $160 \mu\text{V Hz}^{-1/2}$ and that of the 3.0-Hz signal is about $540 \mu\text{V Hz}^{-1/2}$.

Wave-particle interactions study under controlled wave injection



- Use Ionospheric heaters (HF) to inject ULF/ELF/VLF waves in the L-shell that spans the heater.

Diagnosed by RBSP, Resonance, DSX, ePOP, Orbitals, Barrel

Ionospheric Heaters
HAARP (L \approx 4.9)
Arcsibo (L \approx 1.4)
EISCAT (L \approx 5.9)
SURA (L \approx 2.6)

Techniques to transform HF to ULF/ELF/VLF frequencies

1. Polar Electrojet Antenna (PEJ)

- a. Requires an electrojet current in the D/E region (70-90 km)-
Restricted to high latitudes

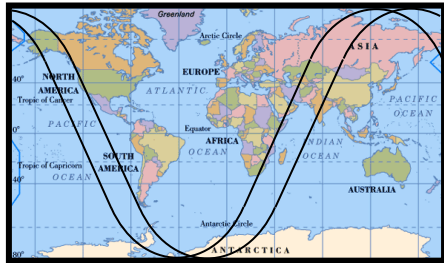
- b. Can inject frequencies up to 20 kHz [Whistlers and Shear Alfvén Waves (SAW)]

2. Ionospheric Current Drive (ICD)

- a. Does not require electrojet
- b. Restricted to frequencies below 70 Hz [SAW, EMIC, Magneto-Sonic (MS)]

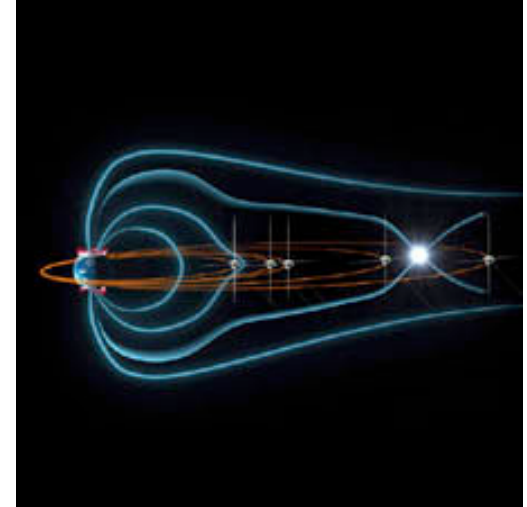
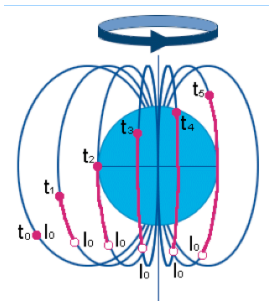
- Inner RB (1.5<L<2)
- Slot (2<L<3)
- Outer (L>3)

ePOP – NRL
Launch -2012
Inclination 80



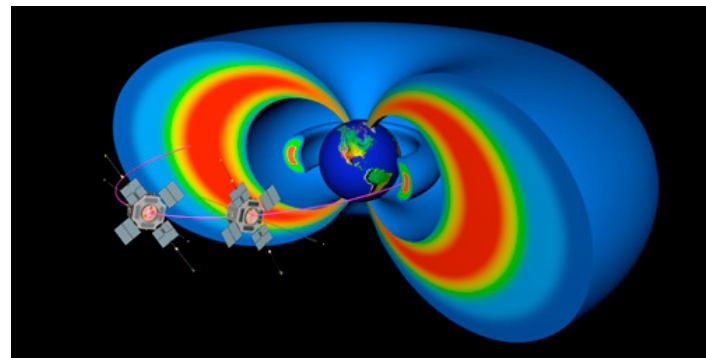
RESONANCE (Russia)

Launch ~2013-14, 4-spacecraft
 Orbit: 1800x30,000km, ~63° incl.



THEMIS (NASA)

Launch Feb 17, 2007
 5 identical probes (3)



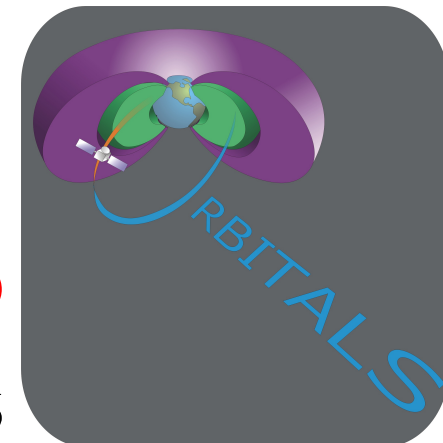
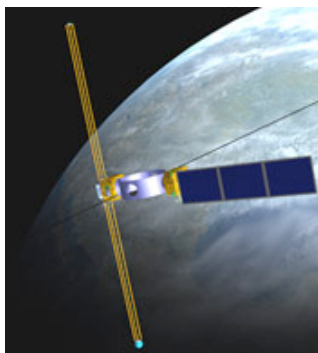
- Launch May 18, 2012
- 2 probes, <1500 kg for both
- ~10° inclination, 9 hr orbits
- ~500 km x 30,600 km

DSX (AFRL)

Launch ~2012
 MEO, wave/particle

ORBITALS (CSA)

Launch 2012-2013
 Orbit(?) ~L=2 to L=6



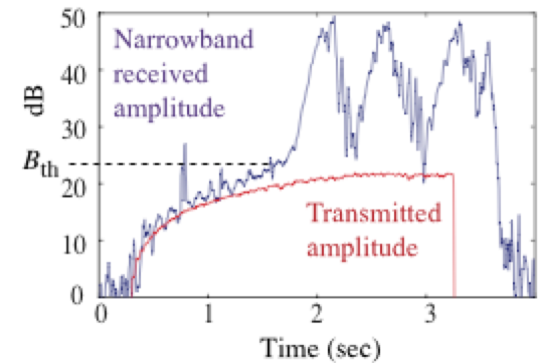
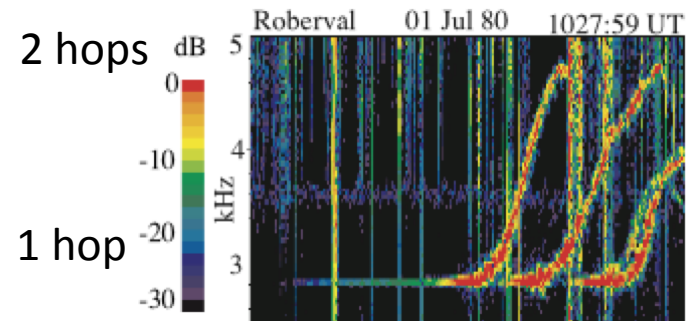
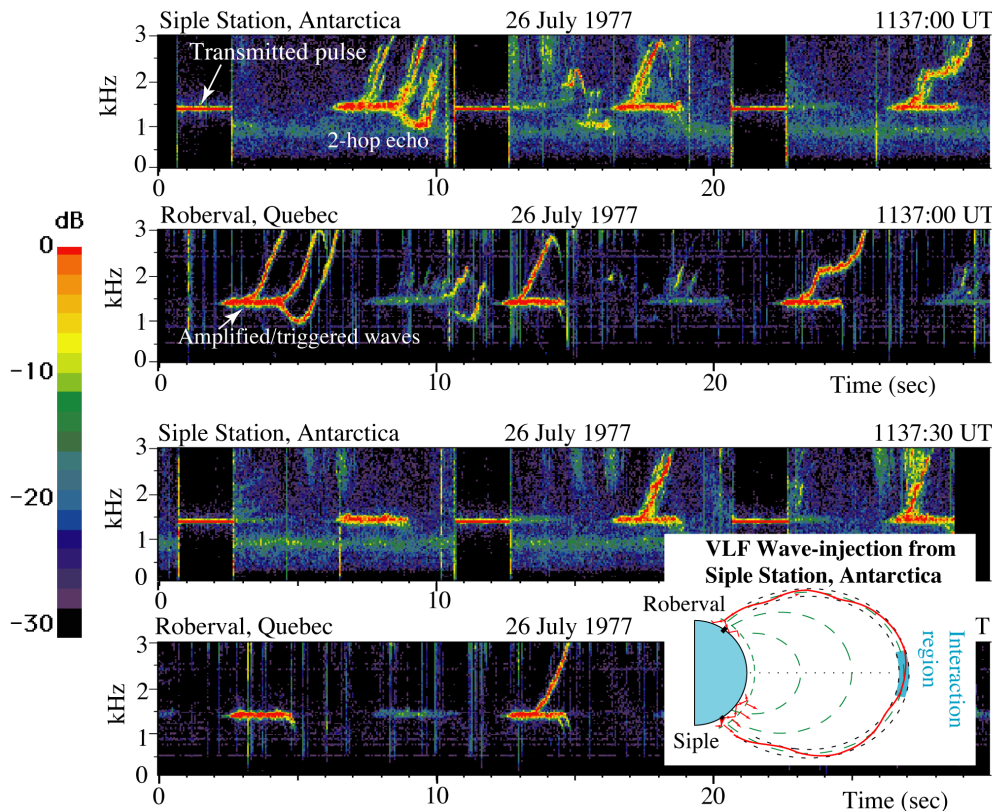
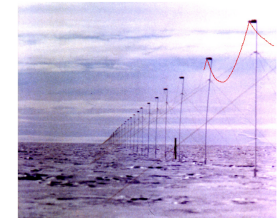
HAARP Active Experiments

Controlled VLF Wave Injection Artificially Stimulated Emissions (ASE)

Siple Station Antarctica – (Stanford – NSF) Helliwell (1973-1987):

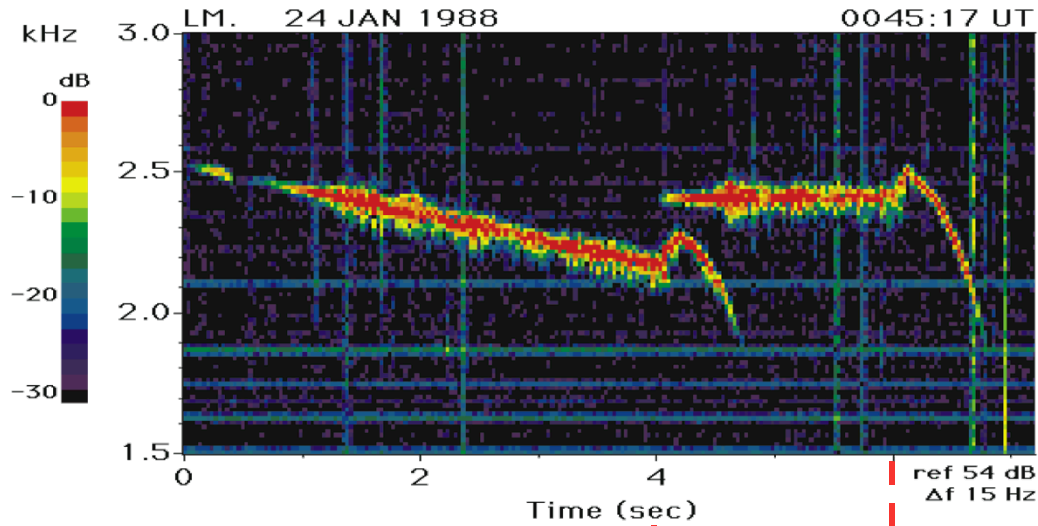
L=4.2, 1.5 MW, 42 km length antenna on 2 km thick ice sheet,
Inject 3-6 kHz (Very narrow frequency range)

Very difficult and inefficient to inject ELF/VLF with ground

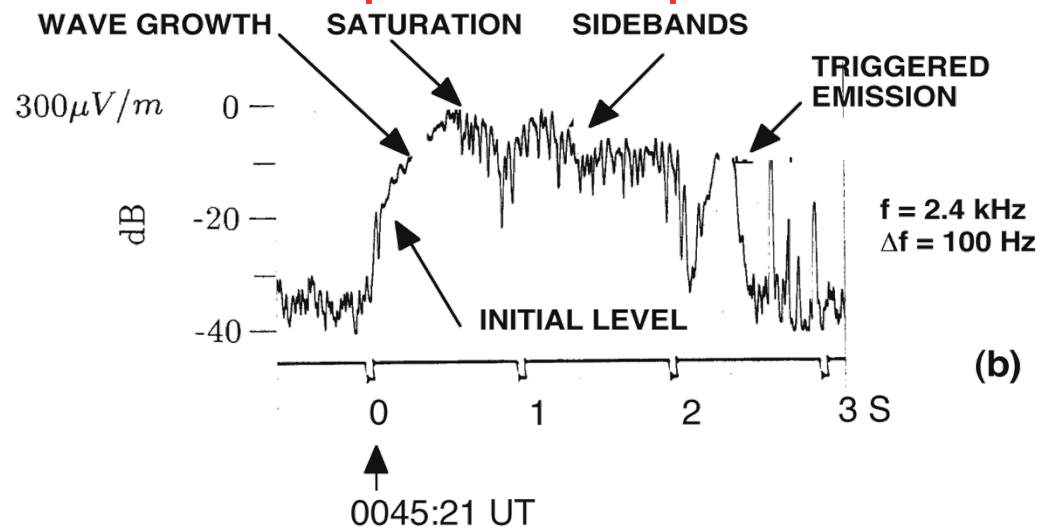


Triggered Emissions

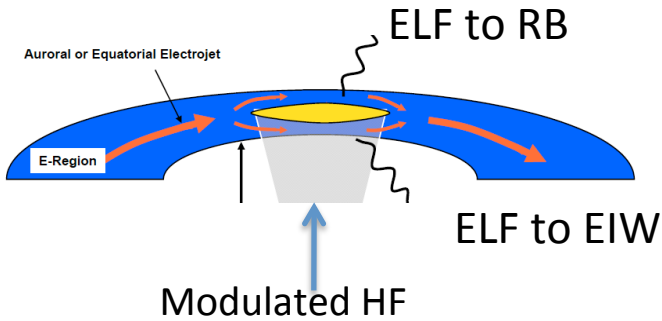
Growth & Saturation



Amplitude in
 ~ 100 Hz band

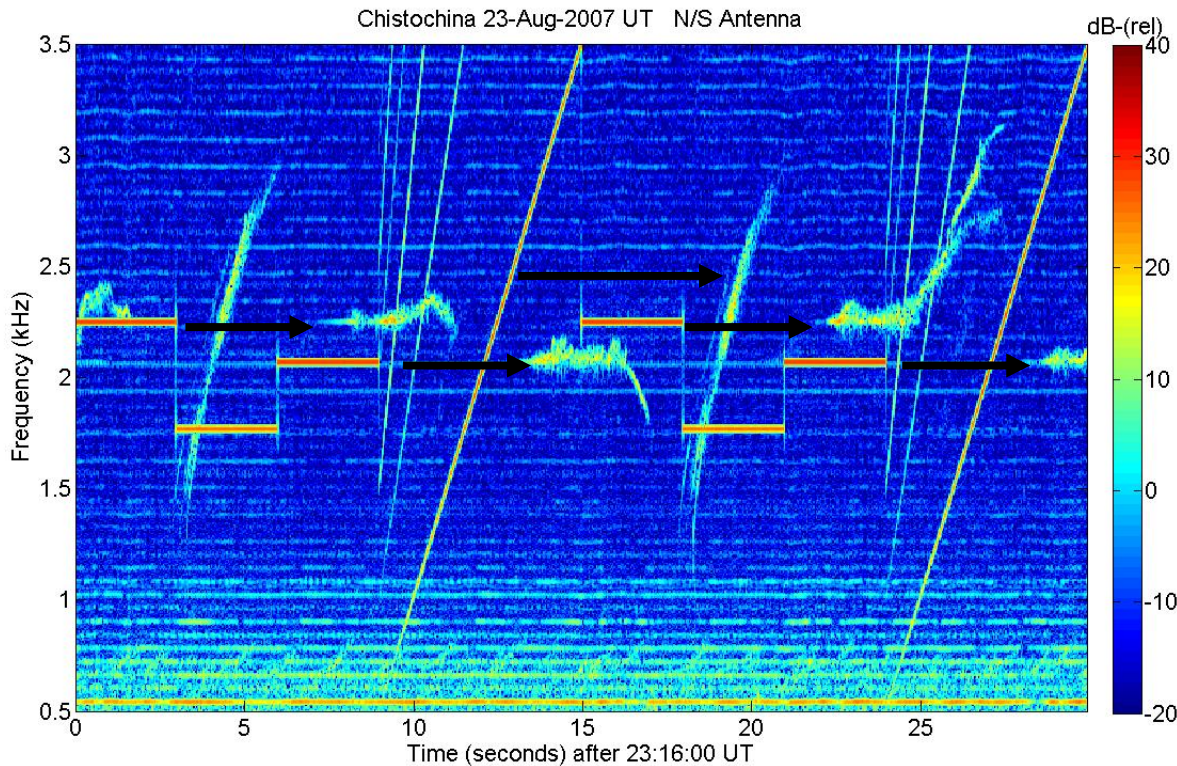
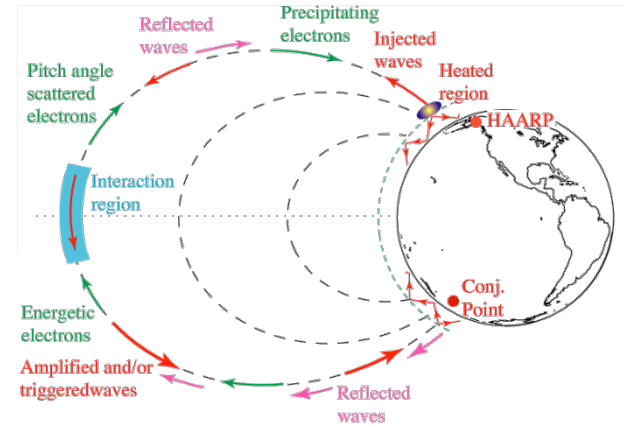


ASE Using the PEJ



ASE Studies

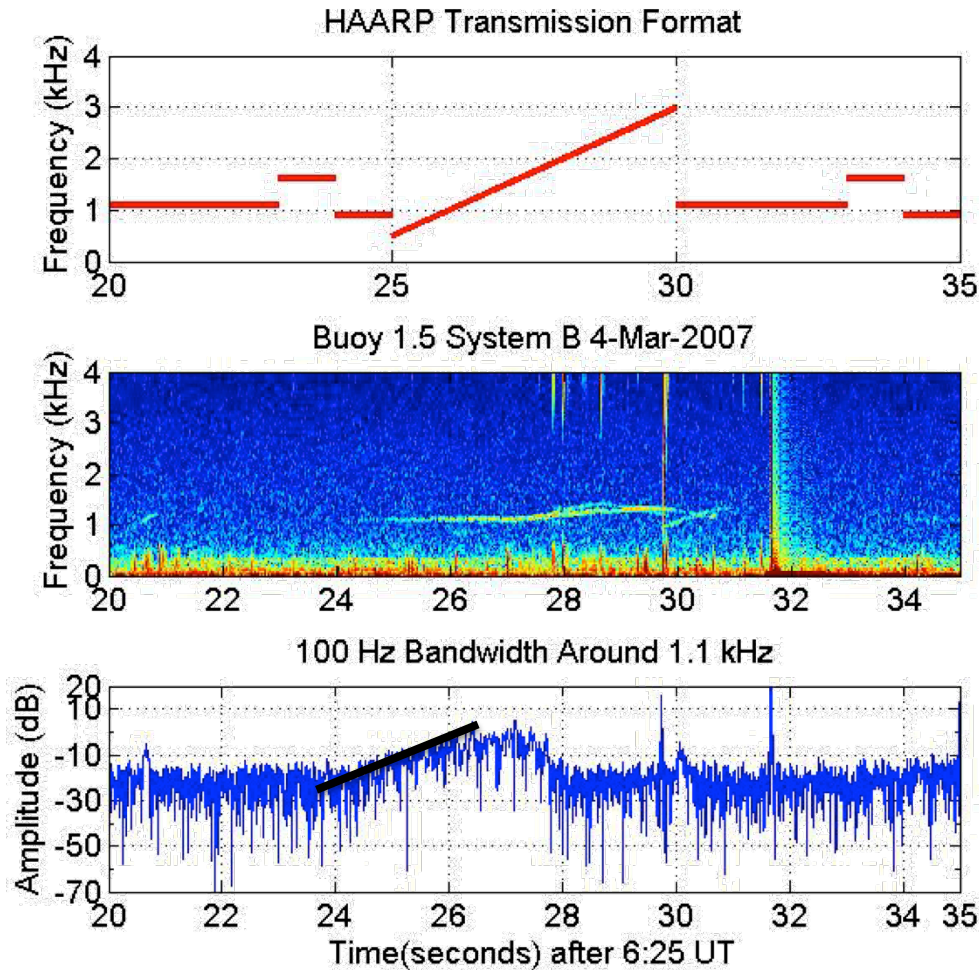
Stanford University - Inan



Pulses above 2 kHz have 1-hop echoes with triggered emissions
Pulse near 1.7 kHz does not; ramps have echoes with no emissions



15 dB/s Amplification & Triggered Emissions



**COHERENT GROWTH
20-30 dB**

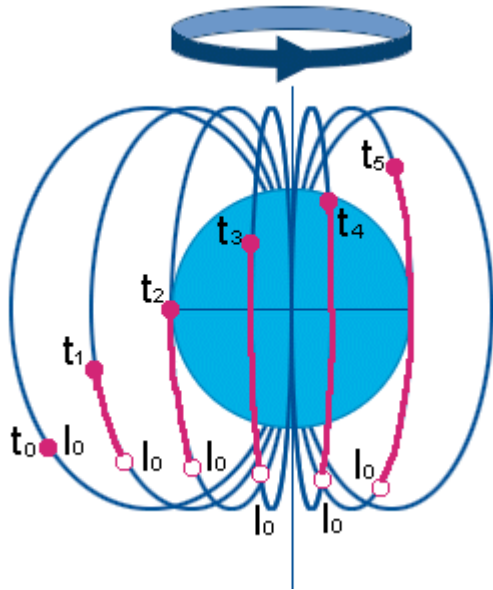
- **THRESHOLD**
 - **SIGNAL SATURATION**
 - **TRIGGERED EMISSIONS – risers, fallers, hooks**
 - **ENTRAINMENT**
- Resolution of key cause and effect issues requires long time observations on flux tube

Only the pulse at 1100 Hz is amplified

The Resonance Project -IKI

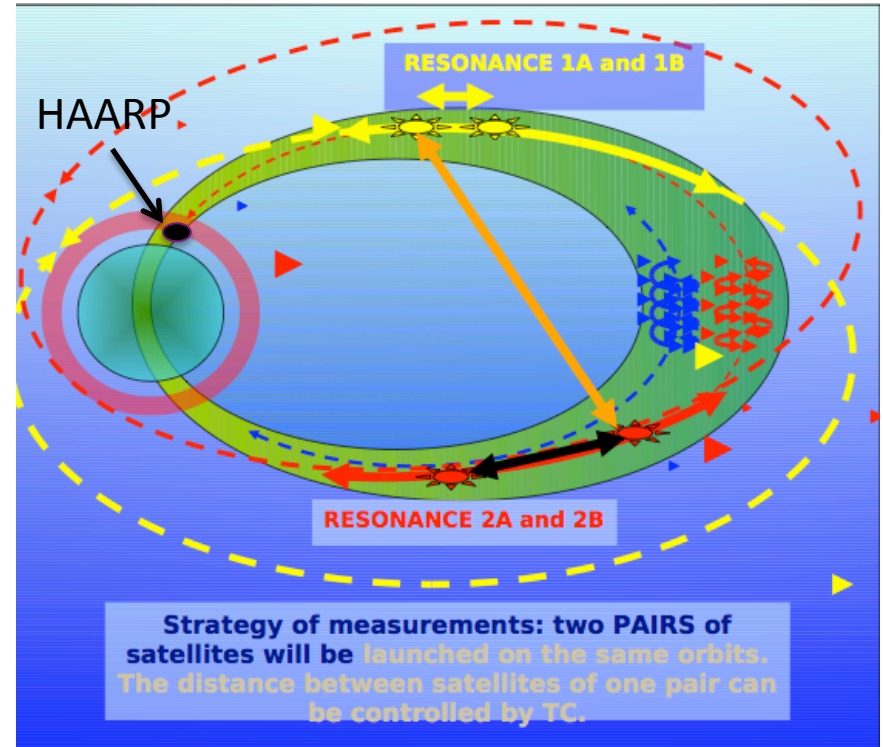
The HAARP/ Resonance Project –UMCP/ IKI

Magneto-synchronous Orbits



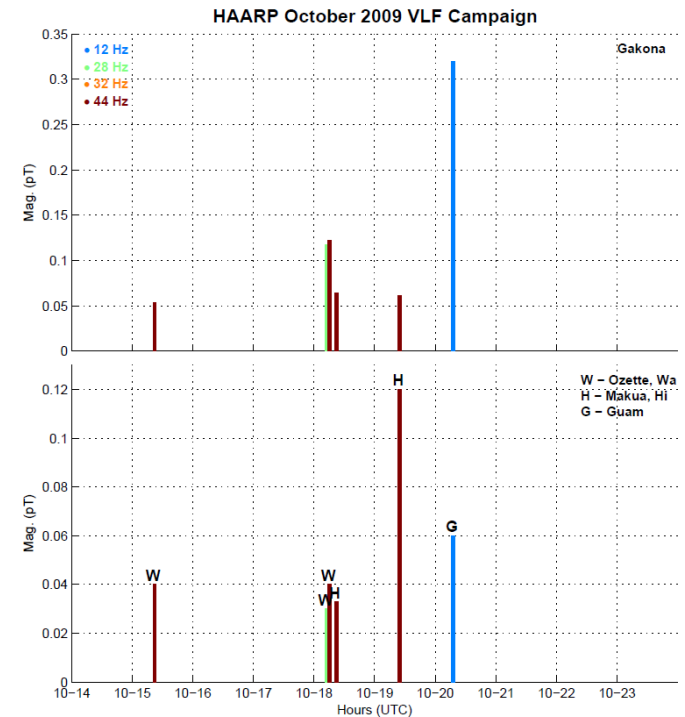
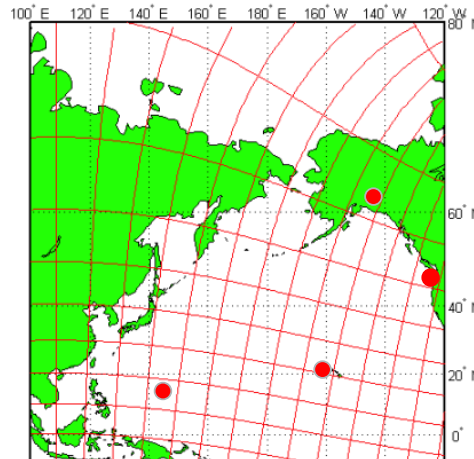
Perigee: 500 km
Apogee: 28000 km
Inclination:
+ 63.4 and -63.4
Period: 8 hours

Orbits of two spacecraft
pairs glide for 1-2 hours
along the same selected
flux tube – Co-rotate with
Earth

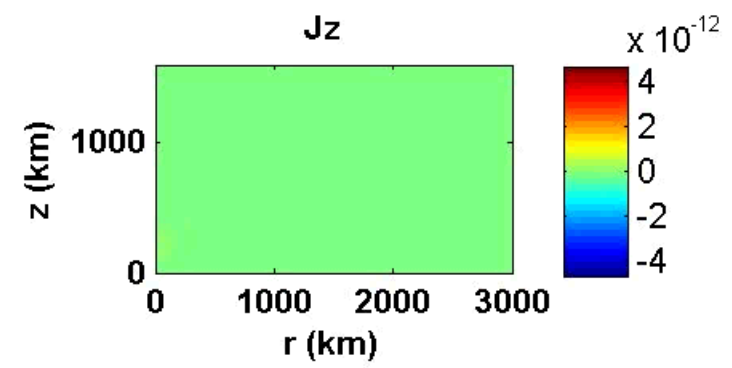
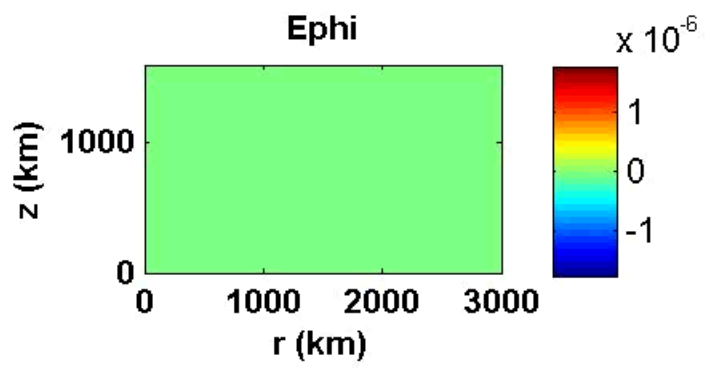
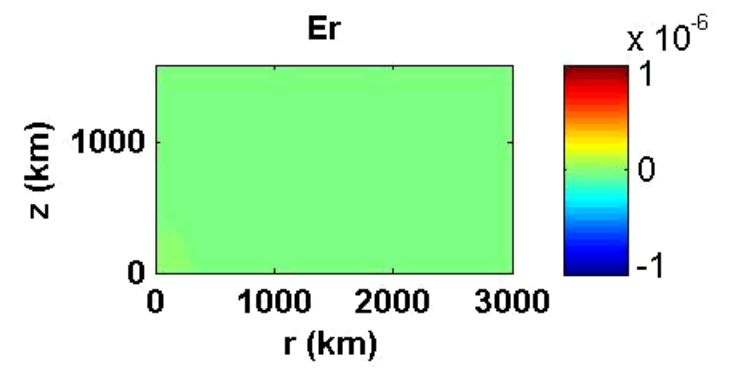
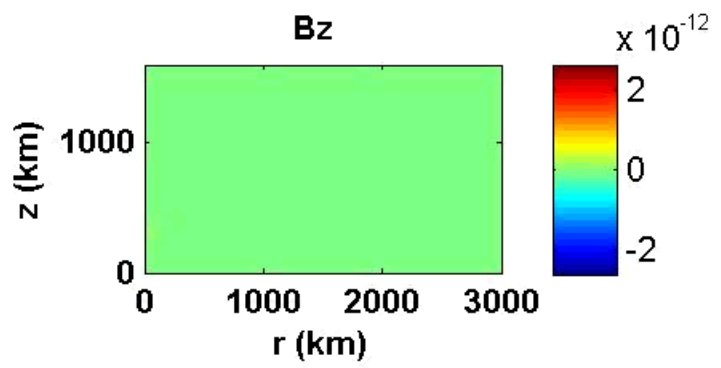
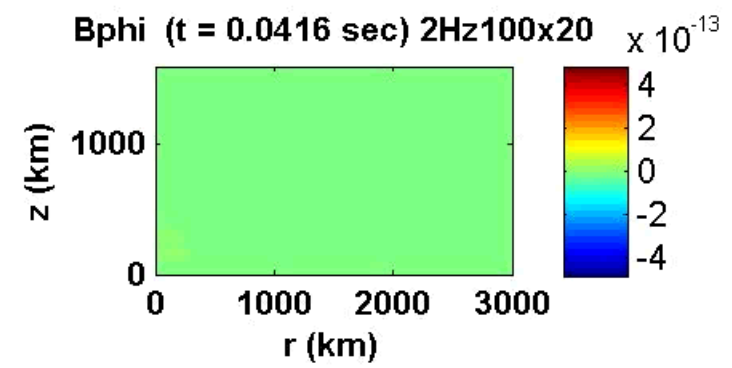
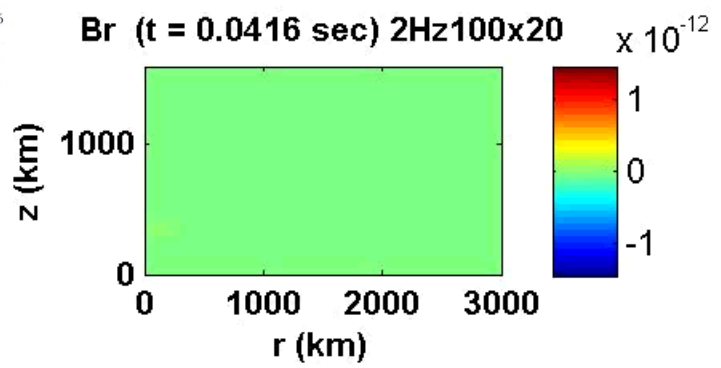


Provides dynamic feedback to
the heater operation

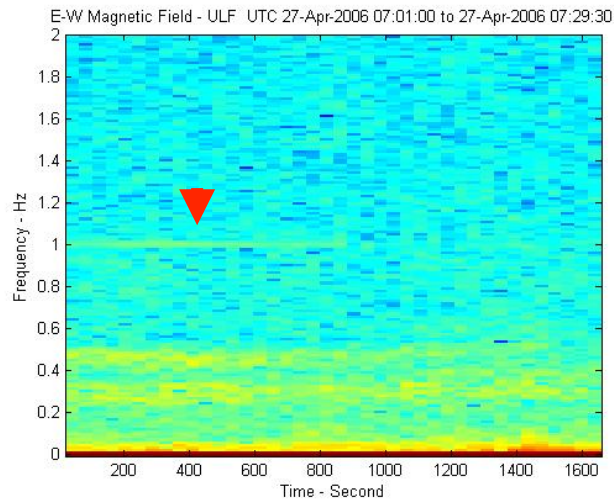
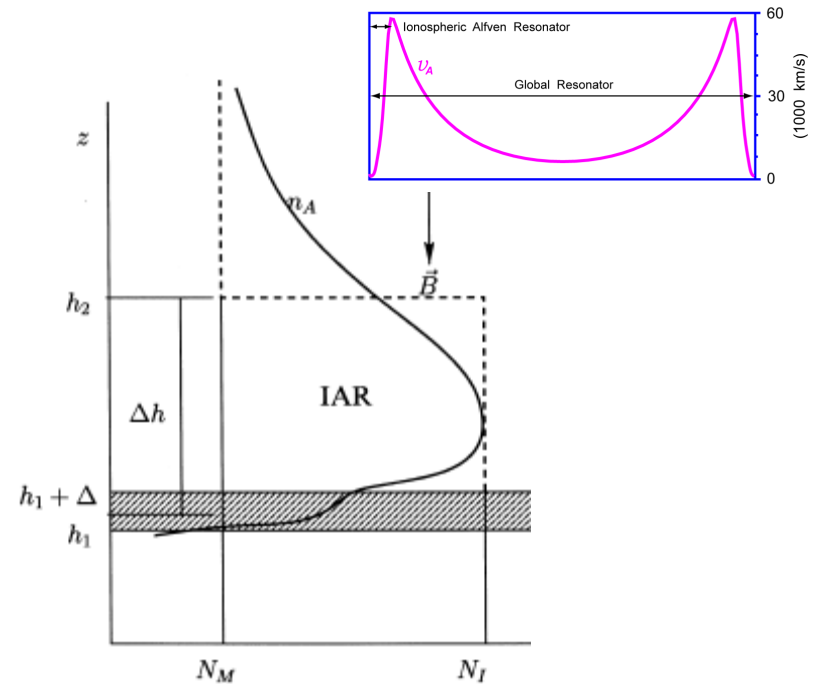
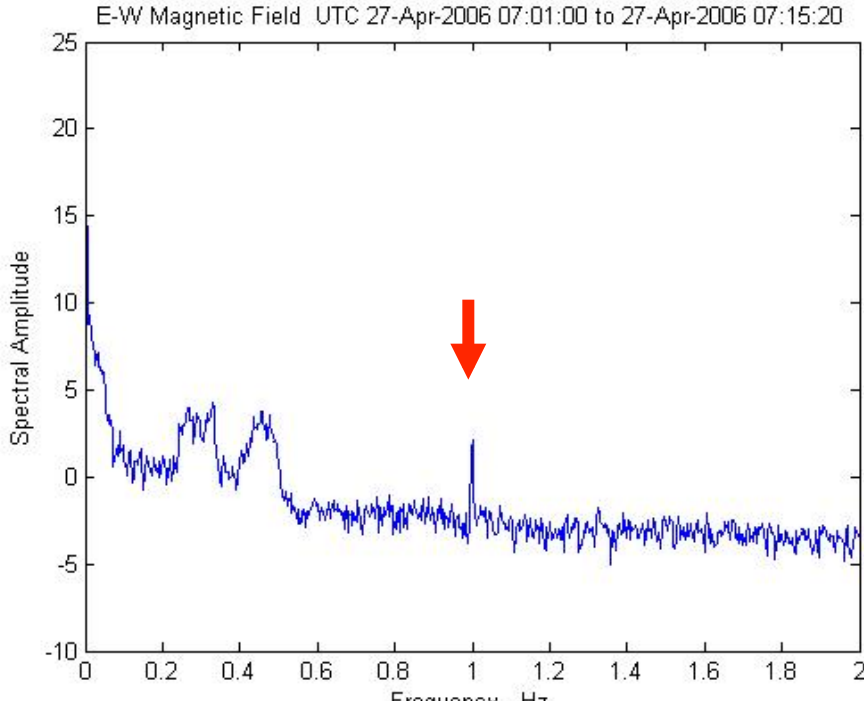
ELF detection at Distant Sites



- Distance to Gakona
 - Lake Ozette, WA (W)
 - 1300 mi
 - Hawaii (H)
 - 2900 mi
 - Guam (G)
 - 4800 mi
- Detection under quiet Gakona cond.
- No detection during electrojet days Oct. 22-23

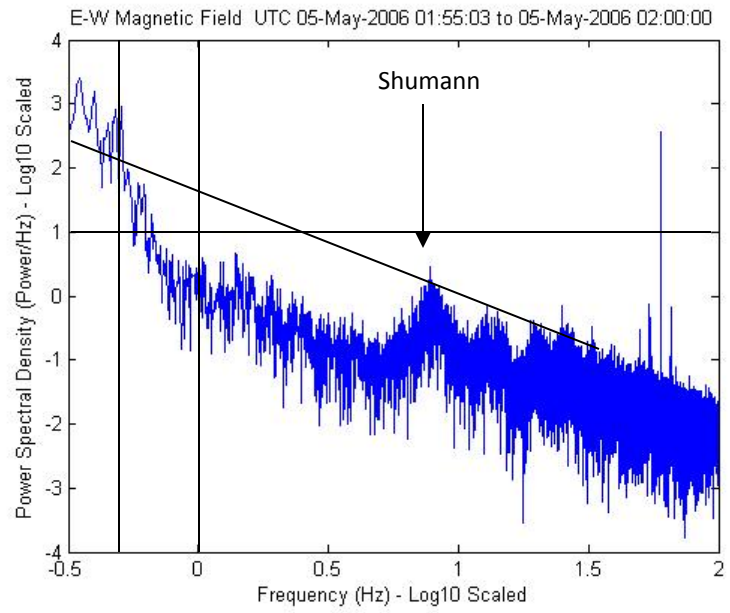


Ionospheric Alfvén Resonator (IAR) Excitation

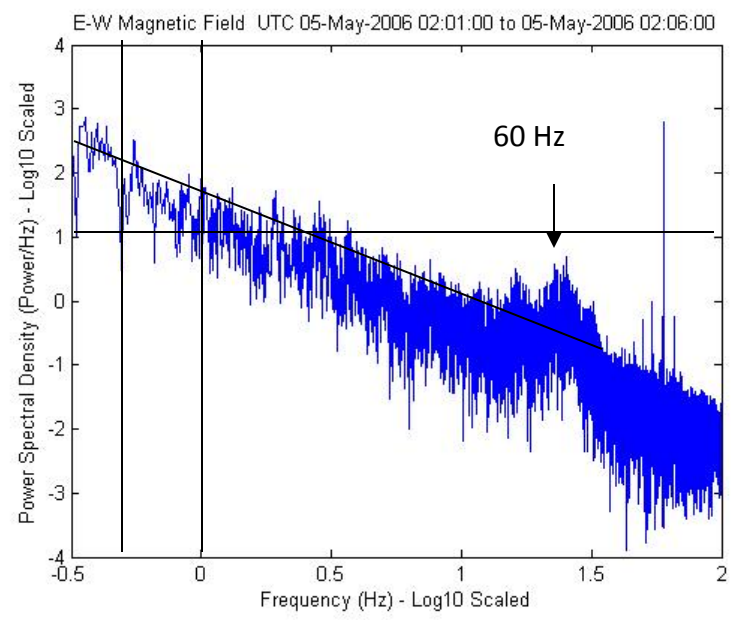


Excitation of the IAR due naturally excited waves at .25 Hz and .5 Hz and by HAARP generated SA at 1.0 Hz.

SAW Triggered Emissions ?



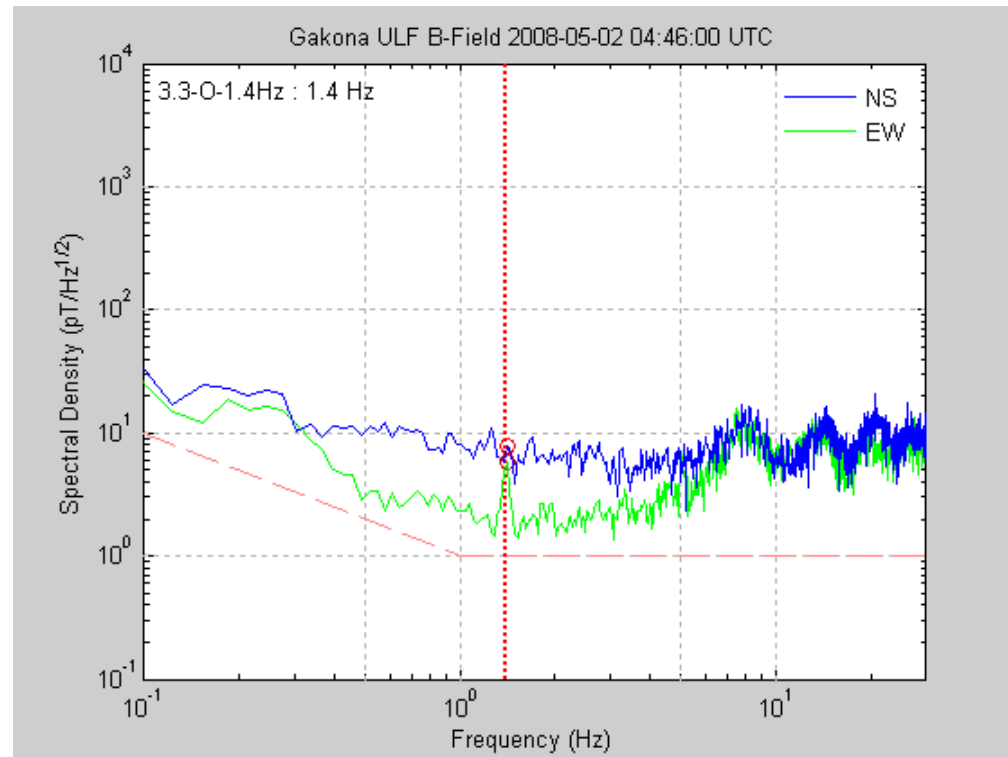
Spectrum before HAARP ULF Start Experiment – Ambient Noise



Spectrum after HAARP ULF Start Noise Increase by more than 10-20 dB between .7-10 Hz

ULF at Gakona – Power Spectral Density (PSD)

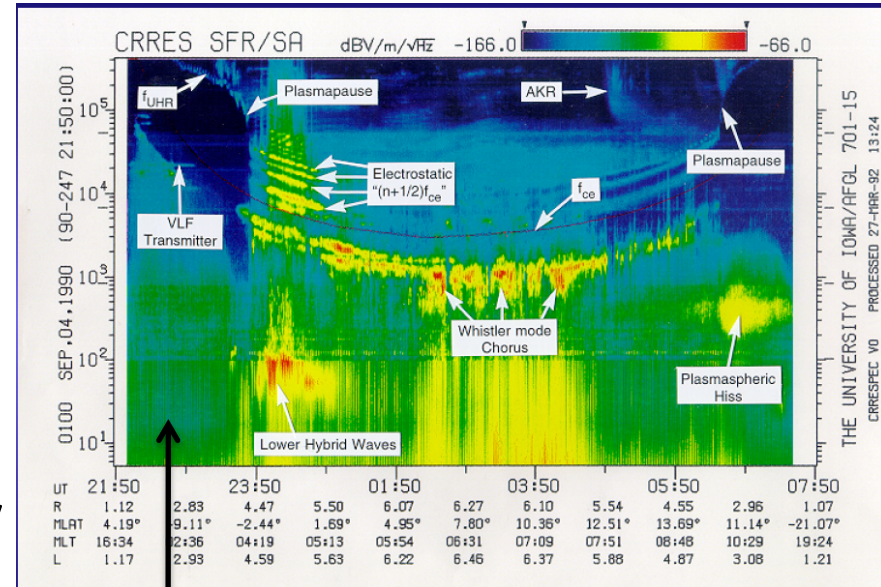
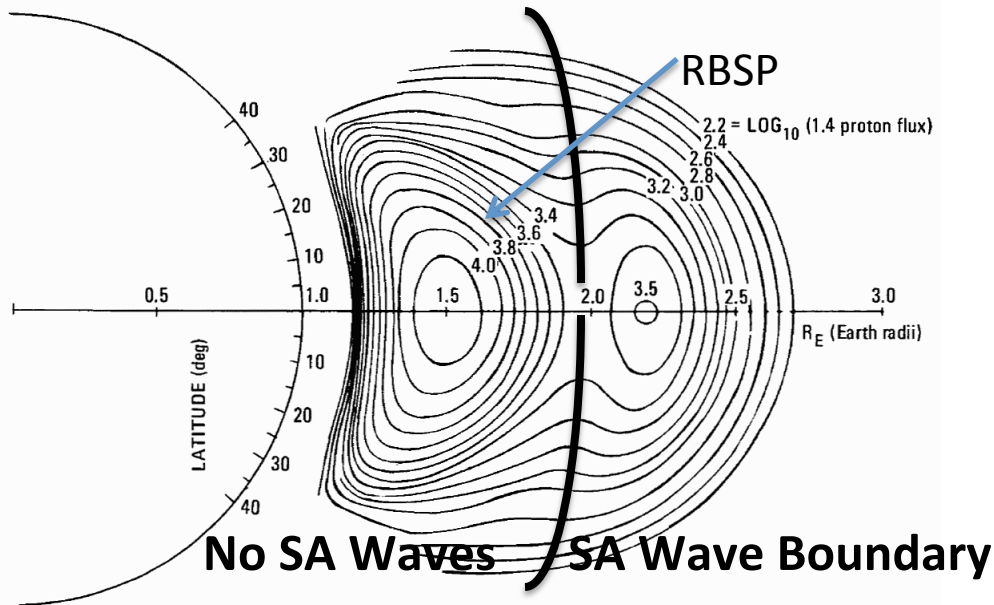
- Frequency spectrum in a moving time window
- Clear Schumann resonances at 8, 14, .. Hz
- Signals emerge as freq. peaks in sync with HAARP ULF operation
- Greatly varying background below 1 Hz



↑
**Triggered Pc1
broadband**

The Inner Radiation Belt Arecibo Active Experiments

Inner Proton Belt – Accessible from Arecibo

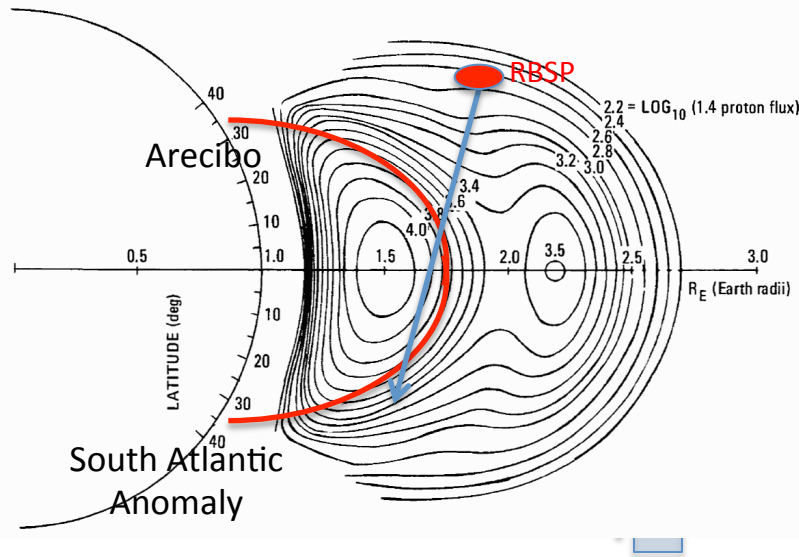


Typical inner belt proton lifetimes:

- 10 MeV – decades
- 50 MeV – century

No wave activity at SAW and EMIC branches

Active Probing of Inner RB Using the Arecibo Heater

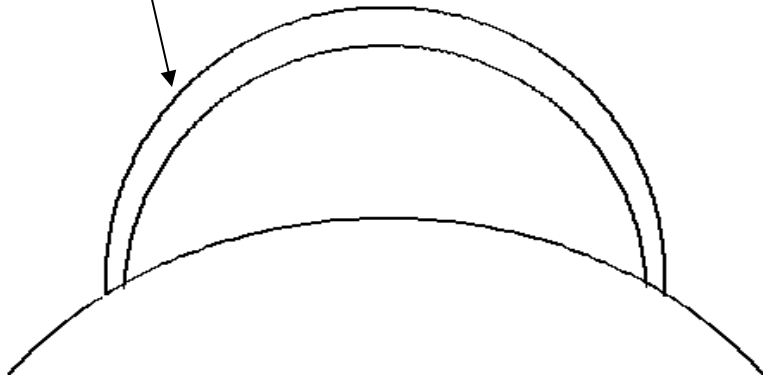


**Focus on SAW for protons
and EMIC for electrons**

WPI critical aspect of RB physics. RBSP will study interactions in the natural environment. A wave injection facility at Arecibo at frequencies that resonate with energetic protons and electrons with RBSP monitoring will provide **cause and effect** understanding of the induced transport processes.

Frequency Selection for Protons

Example for L=1.5
SAW Injection

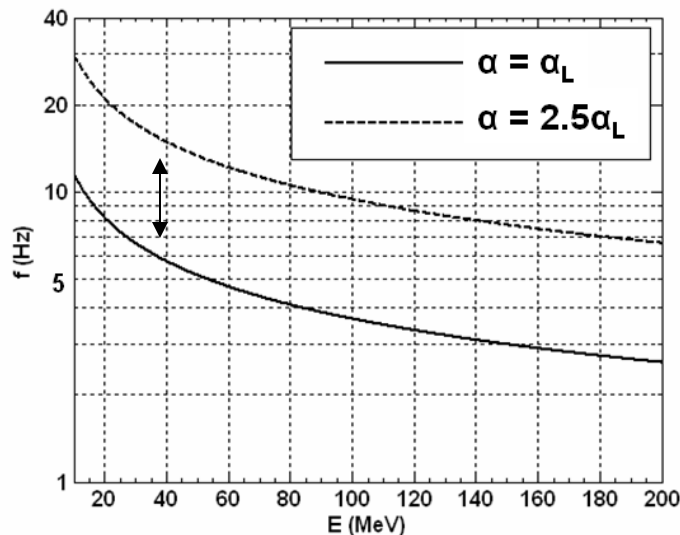


Frequency Selection for Resonance of Protons with SAW

$$\omega \approx k_z V_p$$

$$\omega = k_z V_A$$

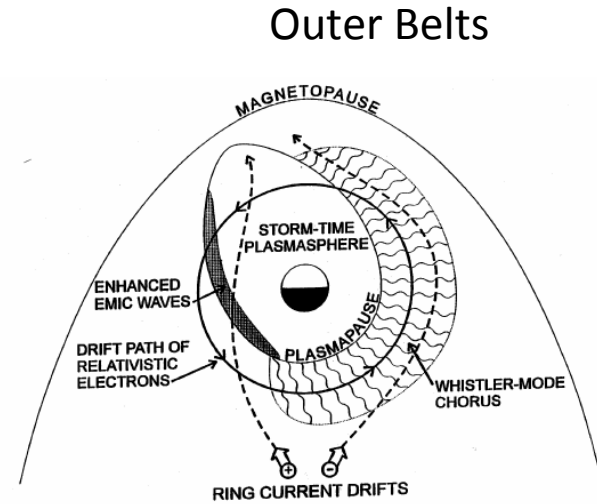
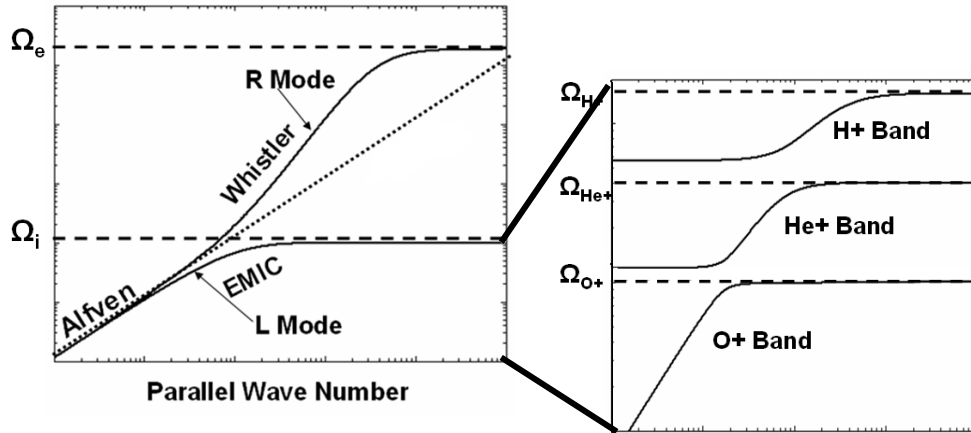
$$\omega(E, \alpha) \approx \frac{\Omega}{\cos \alpha} \sqrt{\frac{M V_A^2}{2E}}$$



Frequency requirement for equatorial resonance with SAW at L=1.5

Frequency range 5-30 Hz

ENERGETIC ELECTRON WP INTERACTIONS DUE TO EMIC WAVES



Summers et al., 1998, 2000, 2003

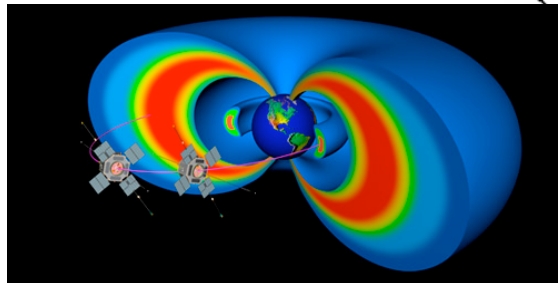
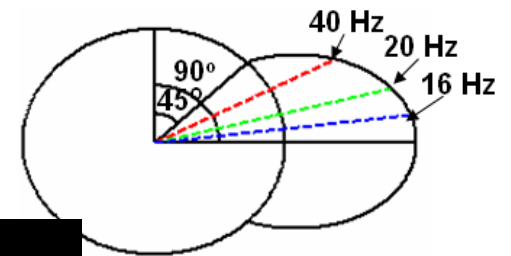
$$-k_z v_z = |\Omega_e| / \gamma$$

$$\frac{k^2 c^2}{\omega^2} = 1 - \frac{\omega_{pe}^2}{\omega(\omega + |\Omega_e|)} - \sum_{j=1}^3 \frac{\omega \omega_{pj}^2}{(\omega - \Omega_j)}$$

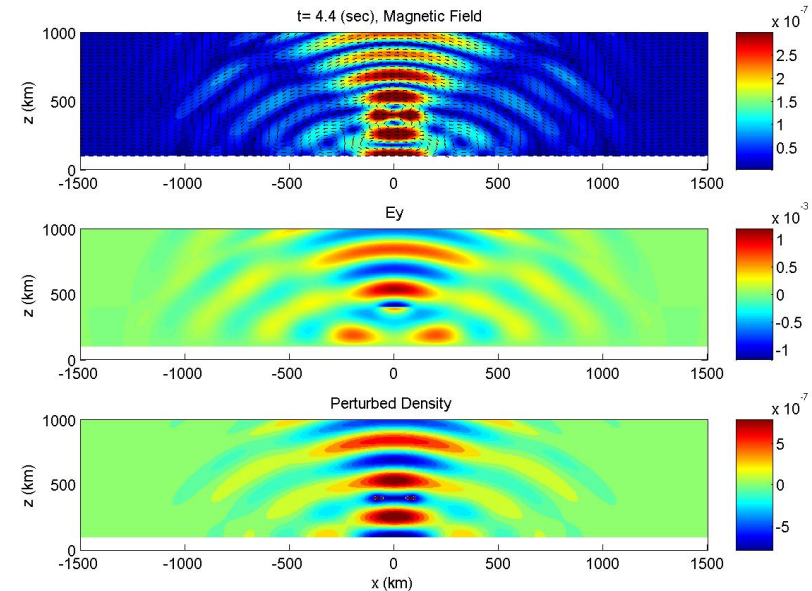
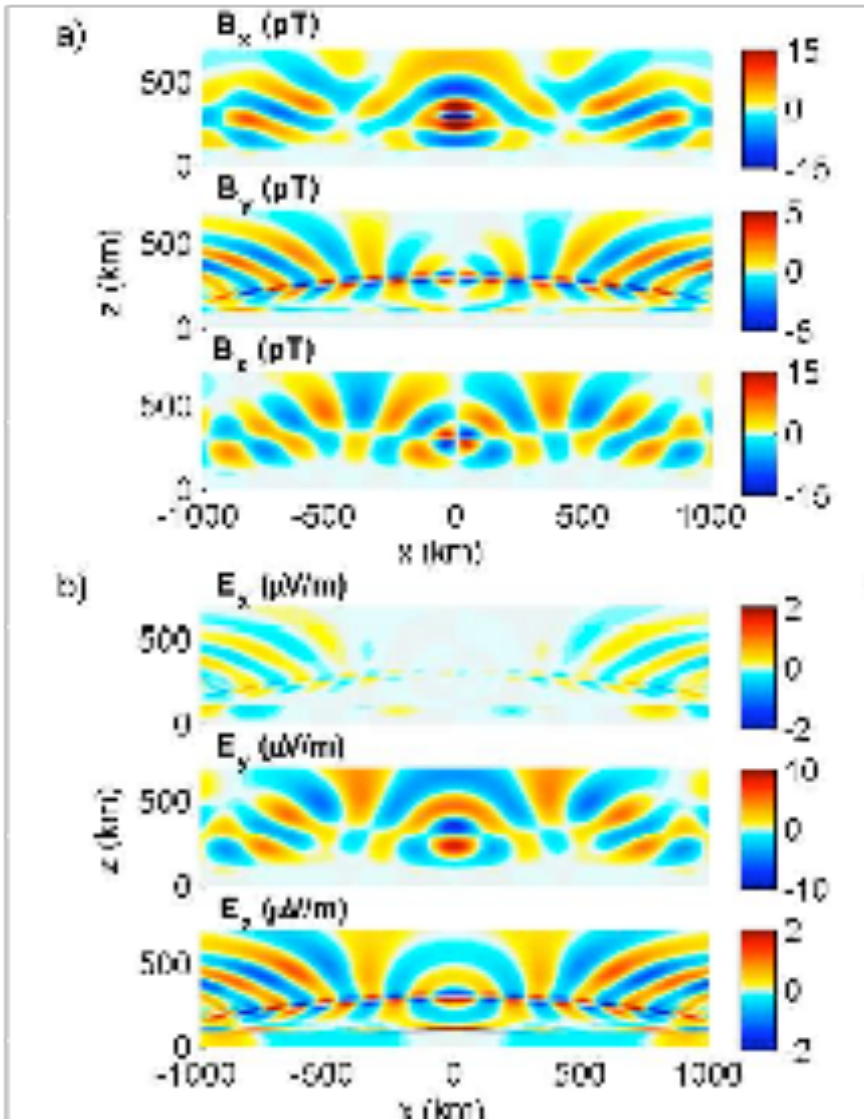
$$\frac{k^2 c^2}{\omega^2} \rightarrow \infty \text{ for } \omega \rightarrow \Omega_j$$

As a result $1/k_z \rightarrow |\Omega_e| / \gamma v_z$ before reaching resonance ($1/k_z \rightarrow 0$)

HELIUM BRANCH



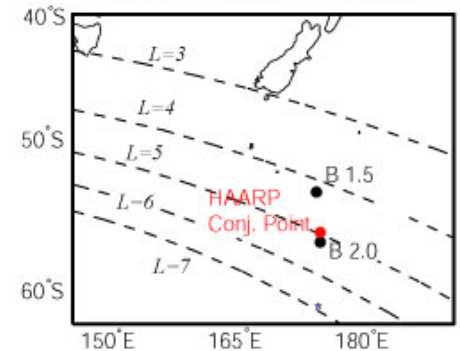
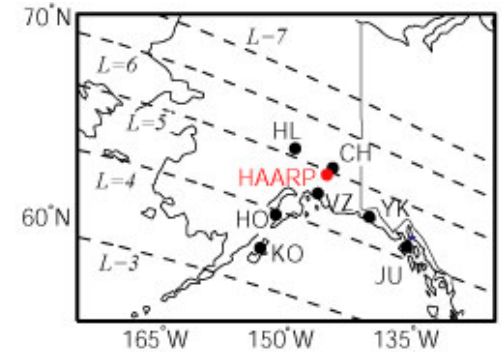
Heater in the Dip-Equator - Jicamarca



Supplement

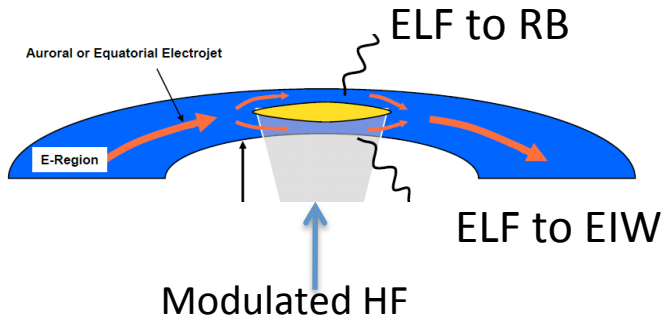
Physics Studies with HAARP

- Wave-particle interactions in the Radiation Belts – Whistler range
 - Artificially Stimulated Emissions (ASE)
- ULF - MHD Study
 - SA ,EMIC and MS wave injection in space. Interactions with trapped electron and ions
 - Excitation of the Ionospheric Alfvén Resonator (IAR)
 - SA wave (Pc1) triggering

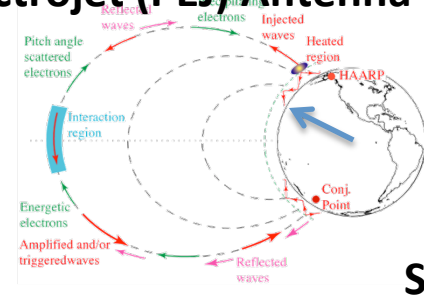


The PEJ Antenna

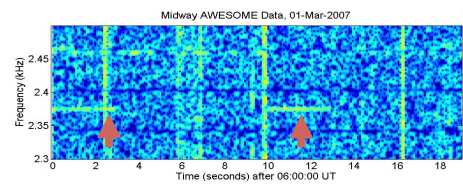
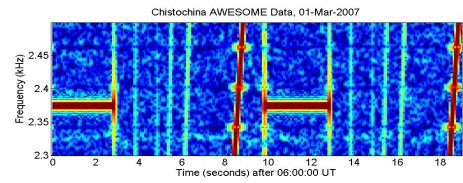
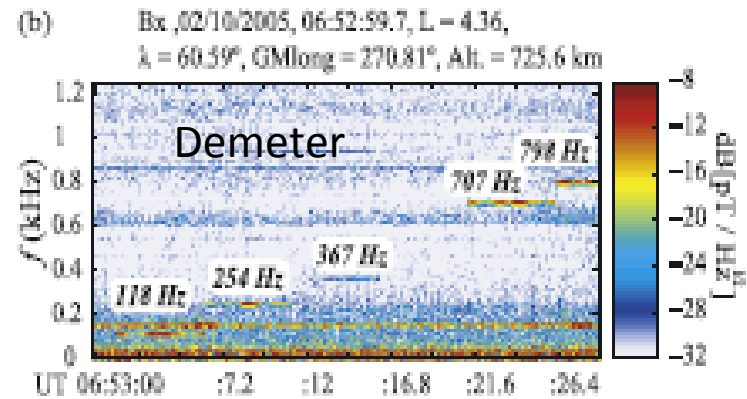
Status: Need the presence of an electrojet – Polar Electrojet (PEJ) Antenna



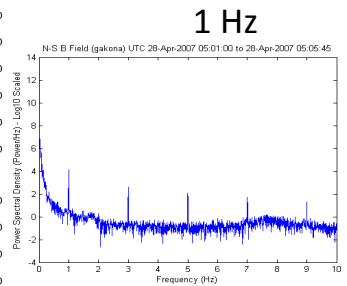
- PEJ Very Successful in Injecting ELF in the EIW and the RB **if there are strong Electrojet Currents.**
- Highly dependent on ionospheric conditions with long periods of no ELF generation
- **PEJ not available at mid-latitude locations**
- Efficient at the 2-4 kHz range but very inefficient at low ELF/ULF frequencies
- Relies in D/E region heating



SU - UMD

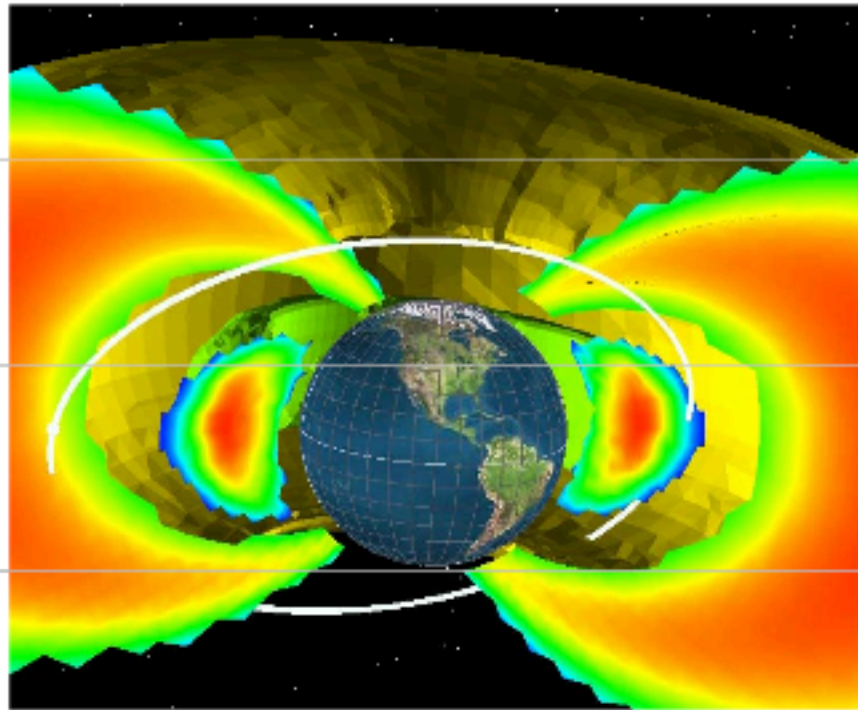


2.3 kHz Midway 4.5 Mm



HAARP

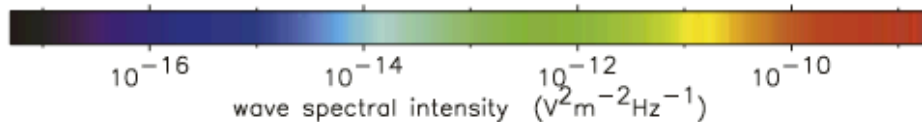
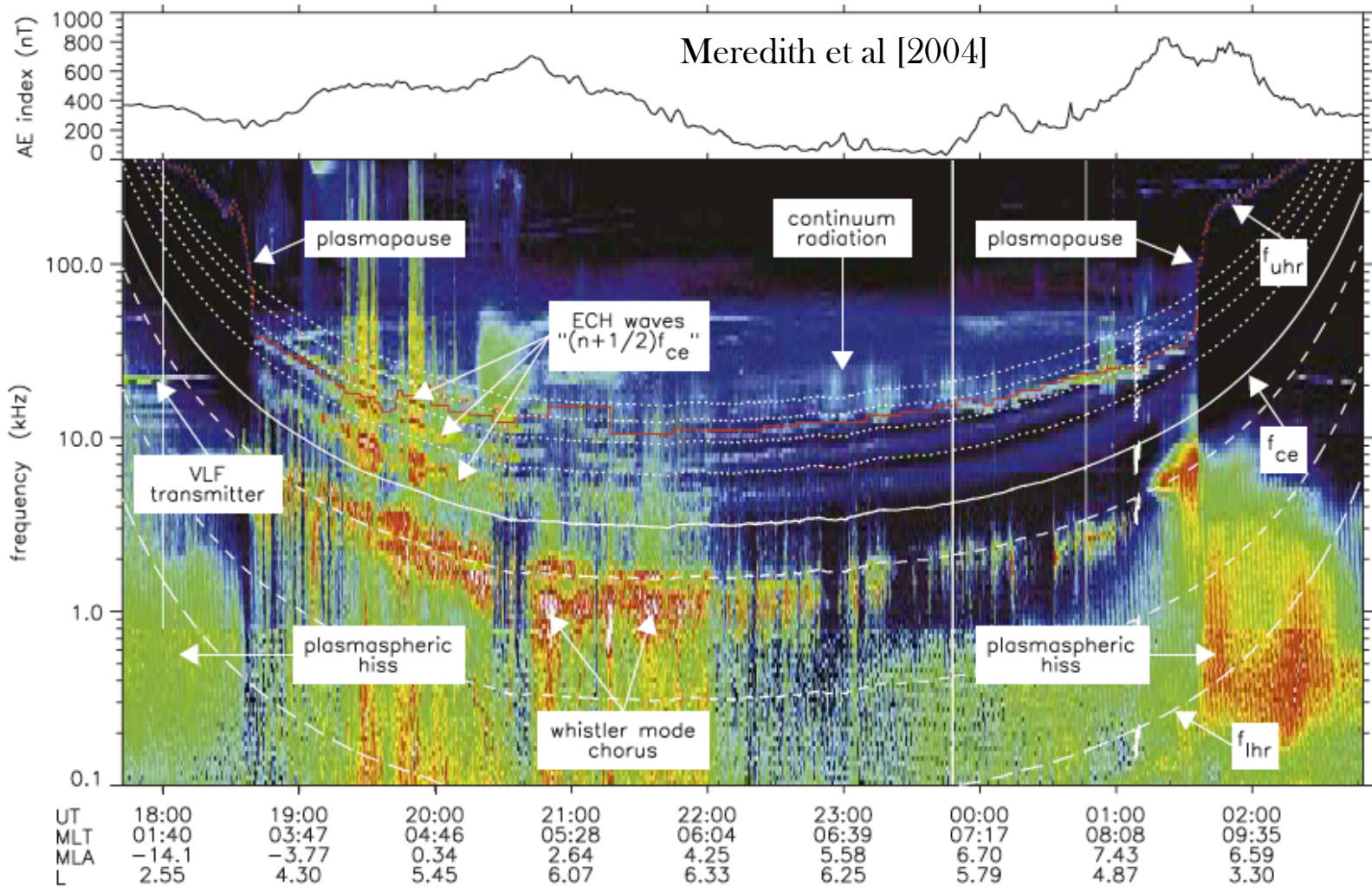
DSX



Apogee (altitude in km)	12,000
Perigee (altitude in km)	6,000
Inclination (degs)	120
Argument of perigee (degs)	357.9 (90)
Right ascension of the ascending node (degs)	TBD (90)
True anomaly (degs)	TBD (180)
Start time (UT)	12:00:00 01 Oct 2012
Period (hours)	5.277

(...) used for analysis in this report

The wave environment in space



Orbit: 0119
 Date: 12-Sep-90
 (90.255)

RBSP Instrumentation

Will measure: E & α spectra, ~ 1 eV to 10's MeV (e^-), 2 GeV (H^+), ion composition & spectra; Waves ~ 0 -12 kHz, E & B, 3-channel, spectra & wave normals, polarization; E-field (1 channel) to 400 kHz;

1. Energetic Particle, Composition, and Thermal Plasma Suite (ECT)

H. Spence, University of New Hampshire

2. Electric and Magnetic Field Instrument Suite and Integrated Science (EMFISIS)

C. Kletzing, University of Iowa

3. Electric Field and Waves Suite (EFW)

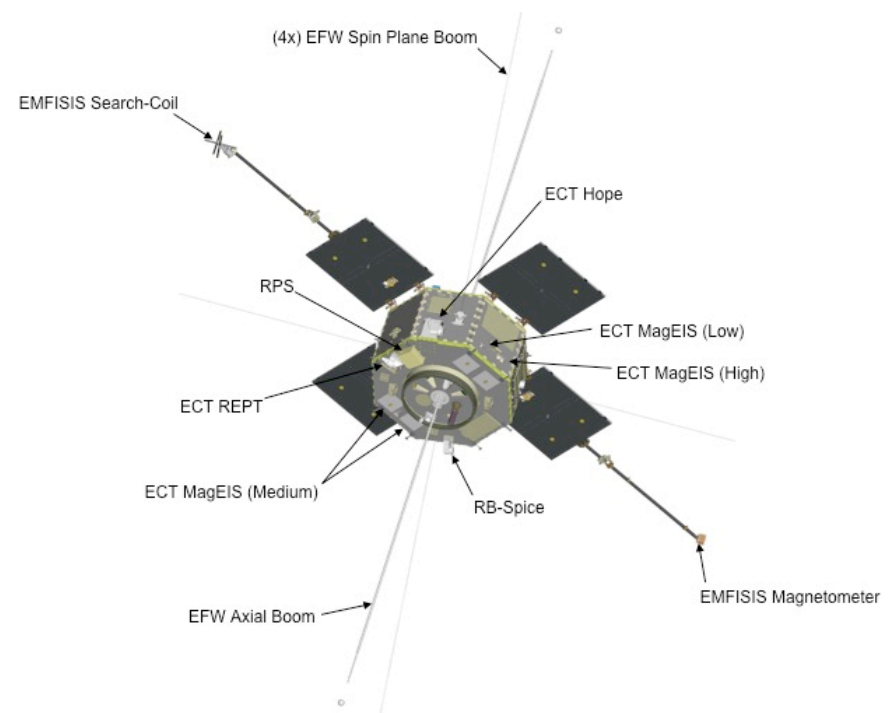
J. Wygant, University of Minnesota

4. Radiation Belt Storm Probes Ion Composition Experiment (RBSPICE)

L. Lanzerotti, NJ Institute of Technology

5. Relativistic Proton Spectrometer (RPS)

D. Byers, National Reconnaissance Office



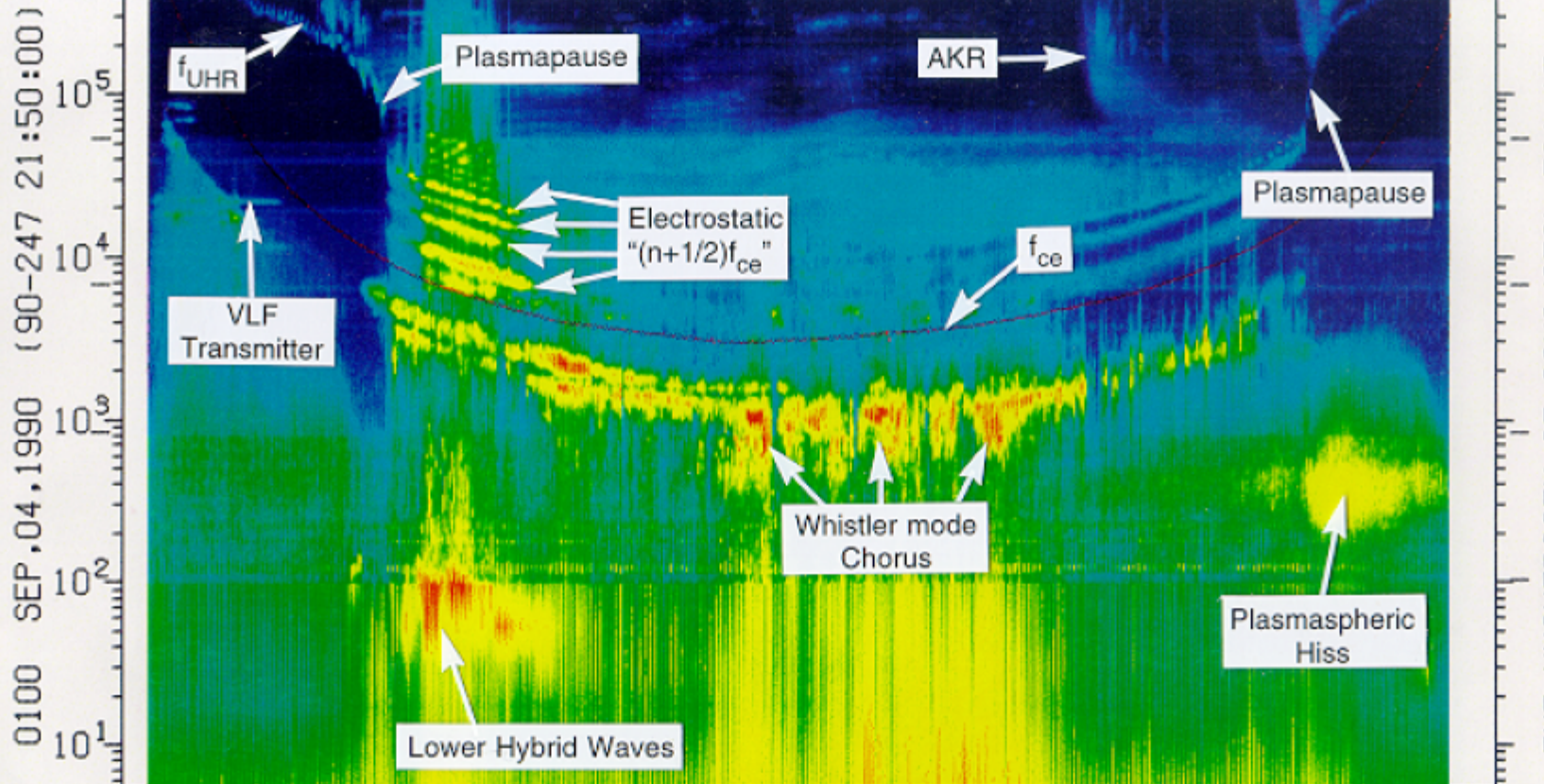
CRRES SFR/SA

dBV/m/√Hz

-166.0



-66.0



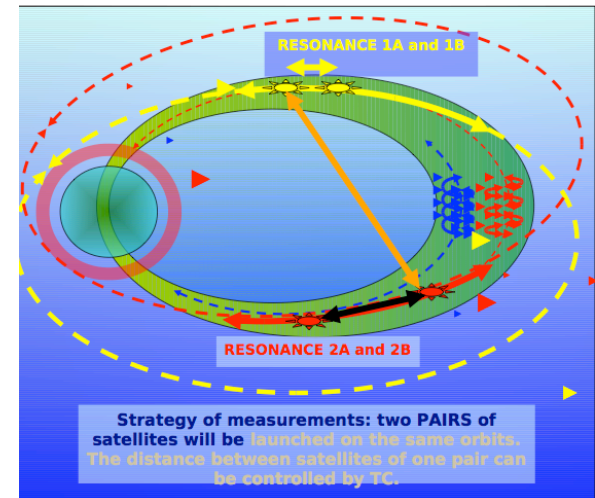
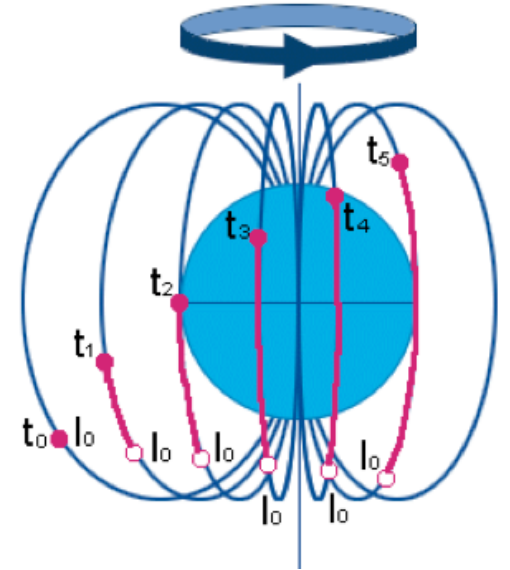
UT	21:50	23:50	01:50	03:50	05:50	07:50					
R	1.12	2.83	4.47	5.50	6.07	6.27	6.10	5.54	4.55	2.96	1.07
MLAT	4.19°	-9.11°	-2.44°	1.69°	4.95°	7.80°	10.36°	12.51°	13.69°	11.14°	-21.07°
MLT	16:34	02:36	04:19	05:13	05:54	06:31	07:09	07:51	08:48	10:29	19:24
L	1.17	2.93	4.59	5.63	6.22	6.46	6.37	5.88	4.87	3.08	1.21

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Physics Studies HAARP/Resonance

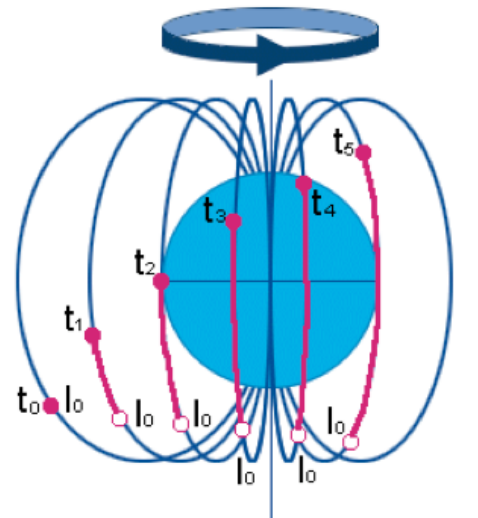
- Wave-particle interactions in the Radiation Belts – Whistler range
 - Artificially Stimulated Emissions (ASE)
- ULF - MHD Study
 - SA ,EMIC and MS wave injection in space. Interactions with trapped electron and ions
 - Excitation of the Ionospheric Alfvén Resonator (IAR)
 - SA wave (Pc1) triggering



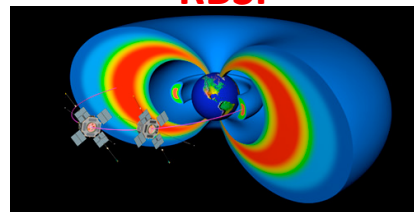
The Future

- Use Ionospheric heaters (HF) to inject ULF/ELF/VLF waves in the L-shell that spans the heater and diagnose it with RBSP, Resonance, DSX, ePOP

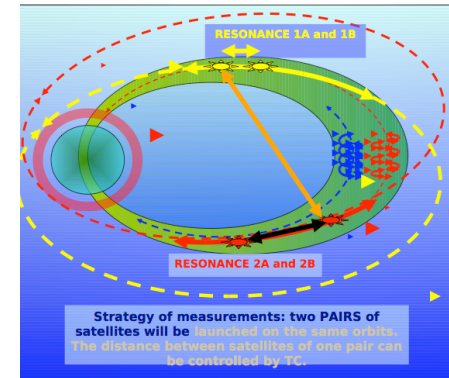
Magneto-synchronous



RBSP



Launch May 18, 2012
2 probes, <1500 kg for both
10° inclination, 9 hr orbits
~ 500 km x 30,600 km



RESONANCE (Russia)

Launch ~2012-14, 4-spacecraft
Orbit: 1800x30,000km, ~63° incl.

DSX (AFRL)

Launch ~2012
MEO, wave/
particle

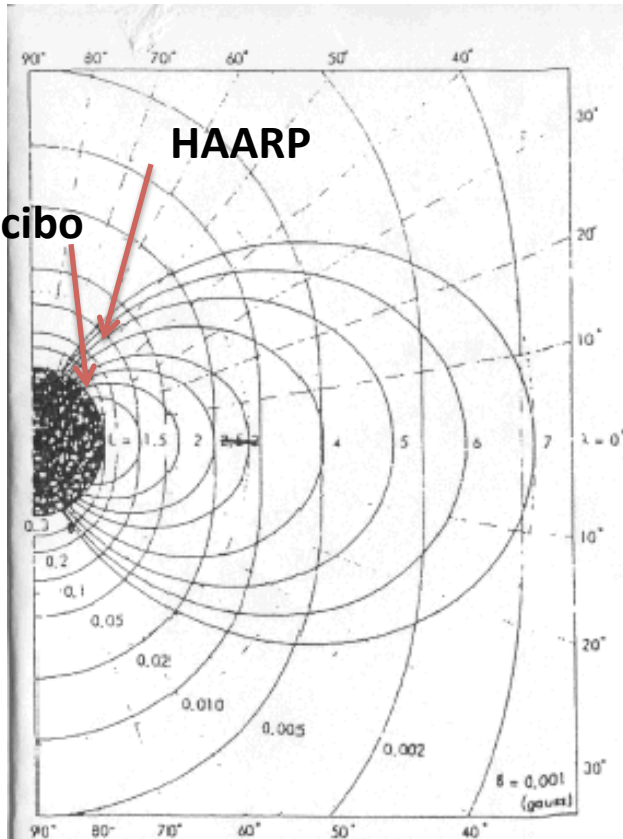
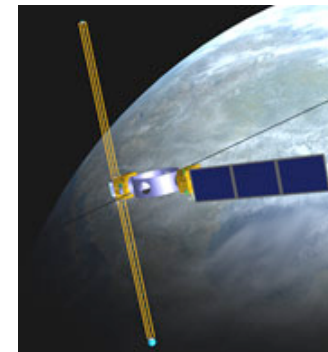
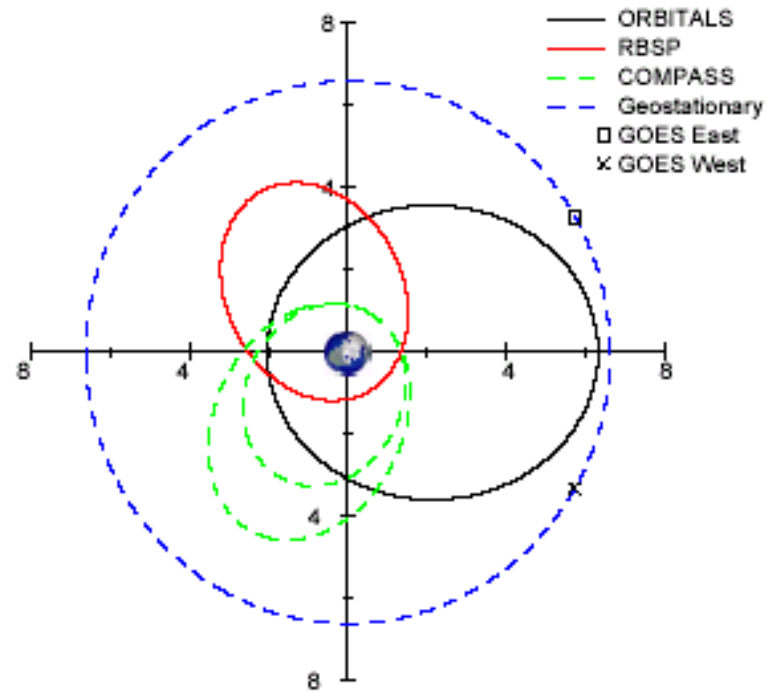


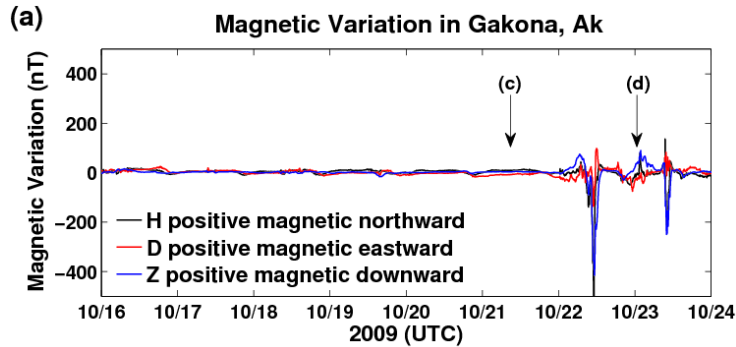
Figure 2-8. Constant-B surfaces in a dipole field.

Ionospheric Heaters
HAARP (L≈4.9)
Arecibo (L≈1.4)
Tromso (L≈5.9)
SURA (L≈)



ICD PoP Experiments

Papadopoulos et al GRL 2011b



- 10/14-10/21 Magnetometer below 10 nT
- 10/14-10/23 55 hours of VLF/ELF/ULF tests
- 6 hours of VLF ground measurements
- PEJ operational
- 51 hours of low ELF/ULF (12-44 Hz) ground measurements

